## 2009 CROW European Airport Pavement Workshop

## Application of Statistical Principles to the

 Evaluation of Airport Pavement BearingCapacity and Determination of Pavement Classification Number

Dr. Ying-Haur Lee, Tamkang Univ. Mr. Yao-Bin Liu, National Central Univ. Dr. Jyh-Dong Lin, National Central Univ. Dr. Hsiang-Wei Ker, Chihlee Inst. of Tech. Taiwan

## Outline

- I. Introduction
- II. Review of ACN/PCN Methodology
- III. Goodness Study of Existing Backcalation Results
- IV. Application of NDT Test Data
- V. Development of A Robust Approach
- VI. A Case Study for Rigid Pavements
- VII. Concluding Remarks


## I. Introduction

- ACN/PCN Method Adopted by ICAO
- for reporting airfield pavement bearing capacity
- Selecting Evaluation or Design Inputs
- Should consider the mean and standard deviation, but currently only the mean value was used (AC 150/5370-11A)
- "For a more conservative evaluation and design, the mean value minus one standard deviation (or the so-called $85 \%$ confidence level) may be used" (AC 150/5320-6D, AC 150/5370-11A)
- Research Approach $\rightarrow$ The concepts of random sampling, central limit theorem, and confidence intervals for hypothesis testing were adopted to derive a more consistent and repeatable PCN value


## II. Review of ACN/PCN Methodology

## ACN Determination

- Expressing the relative structural effect of an aircraft on a specified pavement type and a standard subgrade category
- By equating the thickness derived for a specified airplane landing gear to the thickness derived for a single wheel load (DSWL) at a standard tire pressure of 181 psi (1.25 MPa)
- Flexible Pavement
- Boussinesq elastic layer solution
- Four levels of subgrade strength (CBR)
- 10,000 coverages
- Rigid Pavement
- Westergaard interior loading solution on Winkler foundation
- Four levels of subgrade strength (k)
- Concrete working stress = 399 psi ( 2.75 MPa )
- $\mathrm{ACN}=2$ * DSWL (in 1000 kg )


## Subgrade Strength Category

| Subgrade <br> Category Code | Flexible <br> Pavement | Rigid Pavement |  |
| :---: | :---: | :---: | :---: |
|  | Subgrade <br> CBR | Subgrade k- <br> value (MN/m $)$ | Subgrade k- <br> value (pci) |
| A <br> (High) | 15 <br> $(\mathrm{CBR} \square 13)$ | 150 <br> $(\mathrm{k} \square 120)$ | 552.6 <br> $(\mathrm{k} \square 442)$ |
| B <br> (Medium) | 10 <br> $(8<\mathrm{CBR}<13)$ | 80 <br> $(60<\mathrm{k}<120)$ | 294.7 <br> $(221<\mathrm{k}<442)$ |
| C <br> (Low) | 6 <br> $(4<\mathrm{CBR} \square 8)$ | 40 <br> $(25<\mathrm{k} \square 60)$ | 147.4 <br> $(92<\mathrm{k} \square 221)$ |
| D <br> (Ultra Low) | 3 <br> $(\mathrm{CBR} \square 4)$ | $(\mathrm{K} \square 25)$ | 73.7 |
| $(\mathrm{k} \square 92)$ |  |  |  |

## PCN Determination

- Expressing the relative load-carrying capacity of a pavement in terms of a standard single wheel load

| 60 | R | B | W | T |
| :---: | :---: | :---: | :---: | :---: |
| PCN | Pavement | Subgrade | Allowable Tire | Method Used |
| Value | Type | Category | Pressure |  |
| A | R (Rigid) | A (High) | W (No limit) | T (Technical) |
| Numerical | F (Flexible) | B (Medium) | X ( $\square 1.5 \mathrm{MPa})$ | U (Using |
| Value |  | C (Low) | Y ( $\square 1.0 \mathrm{MPa})$ | Aircraft) |
|  |  | D (Ultra Low) | $\mathrm{Z} \mathrm{( } \square 0.5 \mathrm{MPa})$ |  |

- A particular PCN value can support an aircraft that has an ACN value equal to or less than the pavement's PCN value for unrestricted operations without weight restrictions


## COMFAA Software



Ref: AC 150/5335-5A

## Factors Affecting PCN Assignment

- PCN method used
- Use of empirical or mechanistic based methods
- Evaluation method used
- Pavement structural life
- Method to derive an annual traffic volume
- Method to backcalculate material properties
- Different transfer functions, etc.

Note: PCN values can vary over 200\% using different theories and evaluation technologies (Stet 2005)

| Origin Meflod | PCN | Code |
| :---: | :---: | :---: |
| Flexible Pavement |  |  |
| CBR method S-77-1 | 55 | FBWT |
| PCASECBR | 78 | FBWT |
| PCASE-LEA | 69 | FBWT |
| Shell $85 \%$ | 86 | FBWT |
| Barkeretal | 56 | FBWT |
| - US. Corps of Engineers | 64 | FBWT |
| APSDS-MWHGL-data | 43 | FBWT |
| Rigid Pavement |  |  |
| PCA-PDILB | 77 | RCWT |
| PCASE-Westerearard | 75 | RCWI |
| PCASE-LEA | 79 | RCWT |
| UEC (Ref. 36) | 78 | RCWT |
| Domminichini (Ref. 38) | 66 | RCWI |
| Comps of Engineers | 81 | RCWI |
| Vencon 1992 | 71 | RCWT |

# III. Goodness Study of Existing PCC Backcalation Results 

(Using LTPP DataPave Release 18.0)


## Comparison of Lab Tested vs. Backcalc. Layer Moduli

(a) PCC surface layer

(b) subbase layer

(c) subgrade


Winkler Foundation (Average ratios about 1.4, 1,5, 1.5)

## Comparison of Lab Tested vs. Backcalc. Layer Moduli

(d) PCC surface layer

(e) subbase layer


(f) subgrade


Elastic Solid Foundation (Average ratios about 1.0, 1,1, 3.0)

## Relationship of Elastic Modulus and Modulus of Subgrade Reaction

- FHWA-RD-00-086 Report (2001): Backcalculation of layer parameters for LTPP Test Sections using GPS and SPS data

$$
\mathrm{k}=0.296 \mathrm{E}_{\mathrm{s}}
$$

Statistics: $\mathrm{R}^{2}=0.872, \mathrm{SEE}=9.37, \mathrm{~N}=596$

## Relationship of Elastic Modulus and Modulus of Subgrade Reaction

- Barenberg (2000) indicated the theoretical difference using elastic solid and dense liquid foundations

$$
\mathrm{w}_{\mathrm{e}}=\frac{\mathrm{P} \ell_{\mathrm{e}}^{2}}{3 \sqrt{3} \mathrm{D}}=\mathrm{w}_{\mathrm{k}}=\frac{\mathrm{P} \ell_{\mathrm{k}}^{2}}{8 \mathrm{D}}
$$

$\rightarrow \quad 0.6495 * \ell_{\mathrm{k}}^{2}=\ell_{\text {e }}^{2}$
$\rightarrow \quad \mathrm{E}_{\mathrm{s}}^{4 / 3}=283.7 * \mathrm{~h} * \mathrm{k}$


## Relationship of Elastic Modulus and Modulus of Subgrade Reaction

- The aforementioned relationship was further verified by comparing the backcalculated Es and k values from the LTPP database
- Slab thickness did have significant effects on this relationship

$$
\mathrm{E}_{\mathrm{s}}=0.9015(\mathrm{k} * \mathrm{~h})^{3 / 4}
$$



## IV. Treatment \& Application of NDT Test Data

## Subdivide the Raw NDT Data Into Several Homogeneous Sub-Sections



Question: How many sub-sections?

## Obtaining a Representative Evaluation or Design Input

- Based on the assumption of normal distribution, "the mean value minus one standard deviation (or the so-called 85\% confidence level) may be used" (AC 150/5370-11A)




## Obtaining a Representative Evaluation or Design Input

- What if the probability distribution function of the population is unknown and is not always normally distributed?
$\rightarrow$ Chebyshev's Rule: the probability that any random variable differs from its mean by at least $k$ standard deviations is less than or equal to $1 / \mathrm{k}^{2}$, in which $k>1$

$$
P(|X-\mu| \geq k \sigma) \leq \frac{1}{k^{2}}
$$

- The so-called 85\% confidence level (or reliability) is only true when the population is normal


## V. Development of A Proposed Robust Approach

- Use the concepts of random sampling, central limit theorem, and confidence intervals for hypothesis testing
- This robust approach includes:
- determine the number of sample units to be surveyed
- determine a representative design input for the entire runway
- obtain a single PCN value as usual


## Determine the Number of Sample Units to be Surveyed

$$
\begin{aligned}
& \bar{X}-\mu=Z_{a / 2} \frac{S}{\sqrt{n}} \leq e \\
& \bar{X}-\mu=t_{n-1, \alpha / 2} \frac{S}{\sqrt{n}} \frac{\sqrt{N-n}}{\sqrt{N-1}} \leq e \\
\Rightarrow & n=\frac{N S^{2}}{\left(e^{2} / 4\right)(N-1)+S^{2}}
\end{aligned}
$$



Note: Already adopted by the ASTM (D5340-98) in pavement condition index (PCI) procedure (Shahin 1994)

## Determine a Representative Evaluation or Design Input

- A single representative design input for the entire runway pavement may be determined by the lower limit of $95 \%$ confidence level (1-tail)

$$
\mu=\bar{X}-t_{n-1, \mu} \frac{S}{\sqrt{n}}
$$

## VI. A Case Study for Tech. Evaluation of Rigid Pavements

## Example Rigid Airfield Pavement Traffic Data




Grand Mean $=3.67 \times 10^{6} \mathrm{psi}$
Sample Standard Dev. $=1.27 \times 10^{6} \mathrm{psi}$
Sample Size $=57$

## Subdivide into Different Number of Subsections



# Results of Using Different Evaluation Methods 

| Methods | Different Evaluation Methods | Representative Epcc (psi) | Estimated <br> $\square \mathrm{r}$ (psi) | Calculated Allowable Gross Weight (lbs) | PCN |
| :---: | :---: | :---: | :---: | :---: | :---: |
| I | Grand Mean | $3.67 \times 10^{6}$ | 648.1 | 700,000 | 55.0/R/C/W/T |
| II | Grand Mean - 1 Std.Dev. | $2.40 \times 10^{6}$ | 592.8 | 640,000 | 48.6/R/C/W/T |
| III | 5 Subsections (85\%) | $3.04 \times 10^{6}$ | 620.7 | 671,000 | 51.9/R/C/W/T |
| IV | 10 Subsections (85\%) | $2.75 \times 10^{6}$ | 608.1 | 656,000 | 50.3/R/C/W/T |
| V | All Separated Data (85\%) | $2.05 \times 10^{6}$ | 577.7 | 632,000 | 47.8/R/C/W/T |
| VI | 95\% Confidence | $3.33 \times 10^{6}$ | 633.4 | 684,000 |  |

$\rightarrow$ Methods I ~V (PCN = 48/R/C to 55/R/C), Method VI (PCN = 53/R/C)

## VII. Concluding Remarks

- According to AC 150/5370-11A's recommendation, the mean value minus one standard deviation (or the so-called $85 \%$ confidence level) may be used to obtain a more conservative evaluation or design input.
- Nevertheless, it was found that this procedure is not based on sound statistical principles especially when the probability distribution function of the population is almost always unknown and is not necessarily normal.


## VII. Concluding Remarks

- Consequently, the concepts of random sampling, central limit theorem, and confidence intervals for hypothesis testing were adopted.
- It was proposed that a single representative design input for the entire runway pavement be determined by the lower limit of $95 \%$ confidence level (1-tail) to derive a more consistent and repeatable PCN value.
- A case study was conducted to illustrate the potential problems of the existing ACN/PCN procedure and the benefits of the proposed revisions.


## Acknowledgements

- Sponsored by National Science Council, and Do \& Find Engineering Consultant CO., LTD., Taiwan
- Ms. Chia-Huei Lin for her hard work in the goodness study of existing backcalculation results


