

# Software Engineering

## Cloud-Based Software: Virtualization and containers, Everything as a service, Software as a service

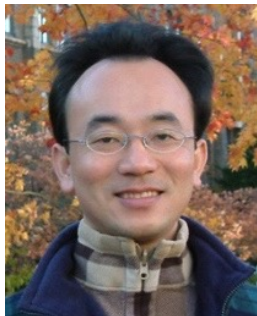
1112SE06

MBA, IM, NTPU (M5010) (Spring 2023)  
Wed 2, 3, 4 (9:10-12:00) (B8F40)



<https://meet.google.com/ish-gzmy-pmo>

aws  
educate | Cloud  
Ambassador  
2020 Cohort



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Associate Professor

Institute of Information Management, National Taipei University

<https://web.ntpu.edu.tw/~myday>



# Syllabus

Week	Date	Subject/Topics
1	2023/02/22	Introduction to Software Engineering
2	2023/03/01	Software Products and Project Management: Software product management and prototyping
3	2023/03/08	Agile Software Engineering: Agile methods, Scrum, and Extreme Programming
4	2023/03/15	Features, Scenarios, and Stories
5	2023/03/22	Case Study on Software Engineering I
6	2023/03/29	Software Architecture: Architectural design, System decomposition, and Distribution architecture

# Syllabus

Week	Date	Subject/Topics
7	2023/04/05	Tomb-Sweeping Day (Holiday, No Classes)
8	2023/04/12	Midterm Project Report
9	2023/04/19	Cloud-Based Software: Virtualization and containers, Everything as a service, Software as a service
10	2023/04/26	Cloud Computing and Cloud Software Architecture
11	2023/05/03	Microservices Architecture, RESTful services, Service deployment
12	2023/05/10	Security and Privacy; Reliable Programming; Testing: Test-driven development, and Code reviews; DevOps and Code Management: DevOps automation

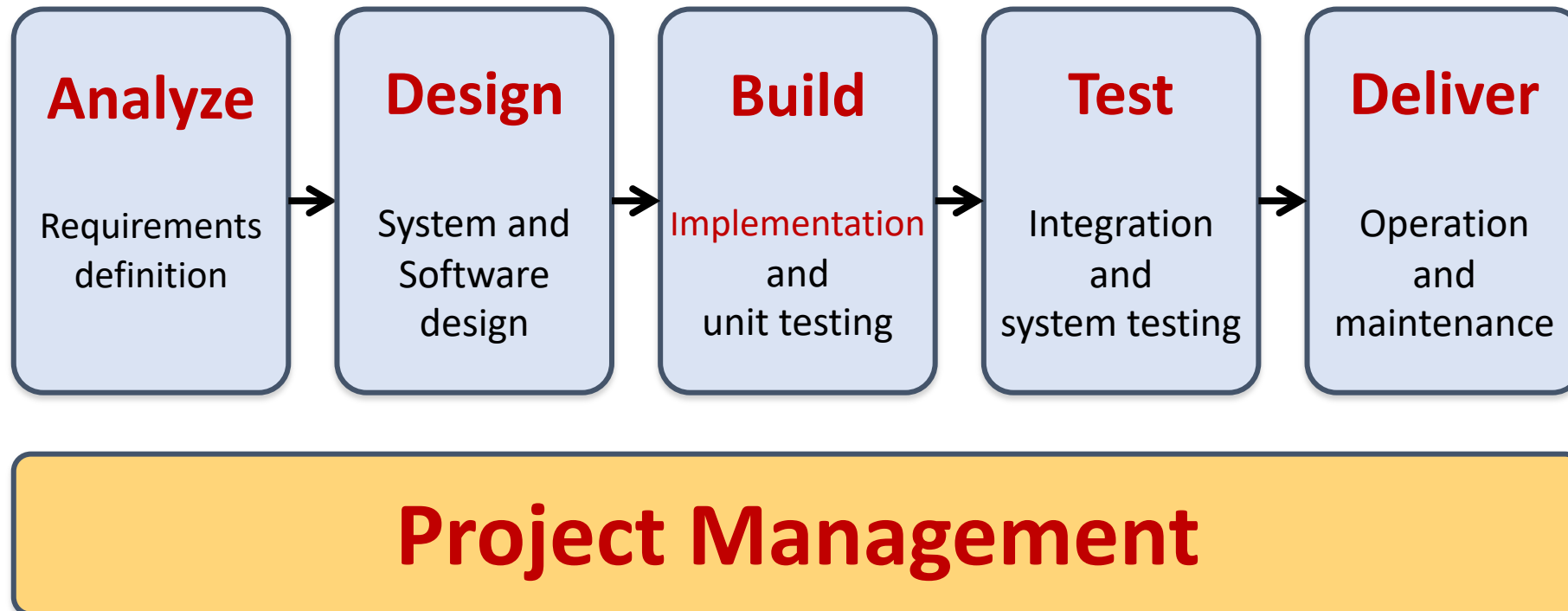
# Syllabus

## Week Date Subject/Topics

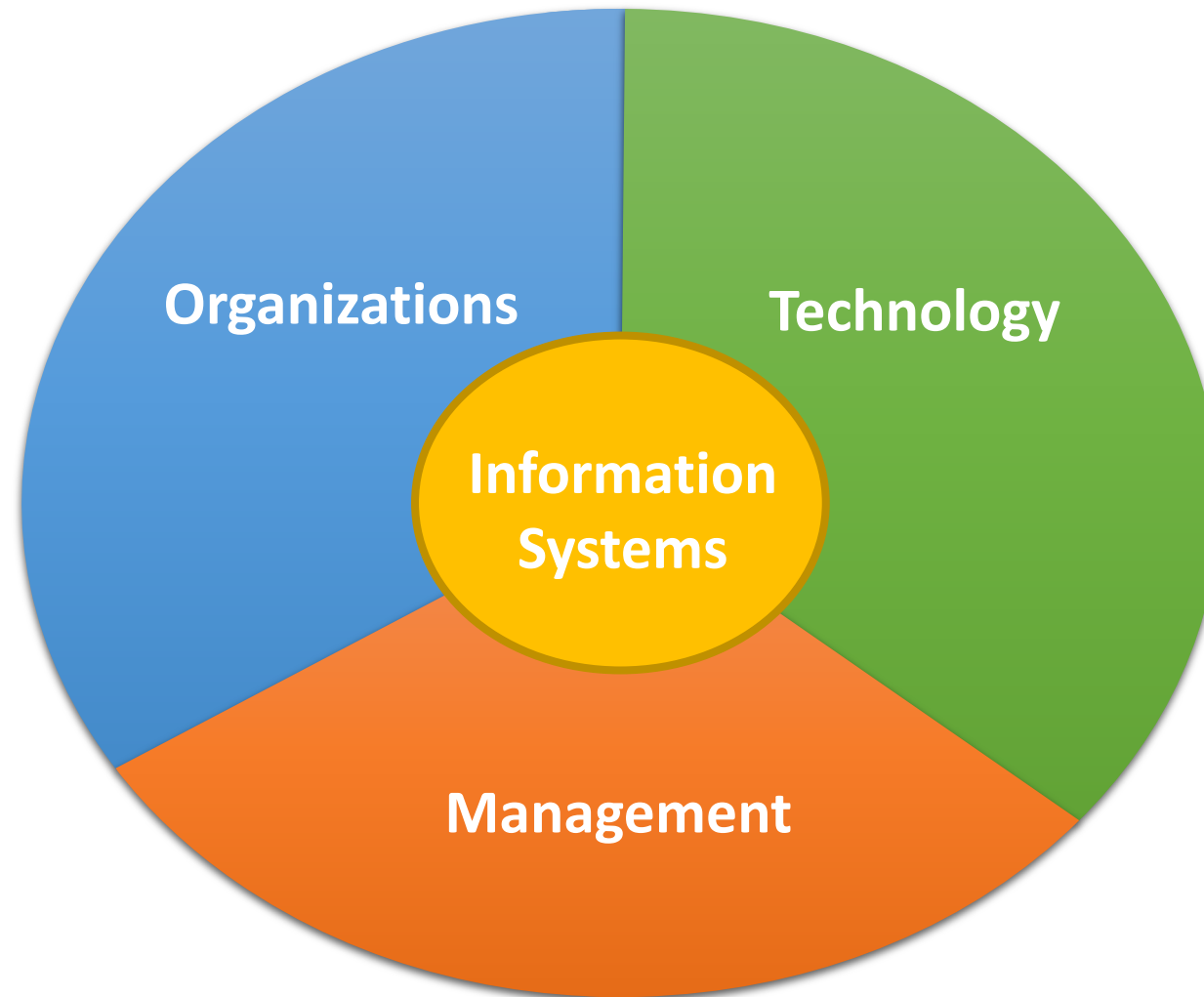
<b>13</b>	<b>2023/05/17</b>	<b>Industry Practices of Software Engineering</b>  [Agile Principles Patterns and Practices using AI and ChatGPT, Invited Speaker: Shihyu (Alex) Chu, Division Director, Software Industry Research Center, Market Intelligence & Consulting Institute (MIC)]
<b>14</b>	<b>2023/05/24</b>	<b>Case Study on Software Engineering II</b>
<b>15</b>	<b>2023/05/31</b>	<b>Final Project Report I</b>
<b>16</b>	<b>2023/06/07</b>	<b>Final Project Report II</b>
17	2023/06/14	Self-learning
18	2023/06/21	Self-learning

**Cloud-Based Software:  
Virtualization and containers,  
Everything as a service,  
Software as a service**

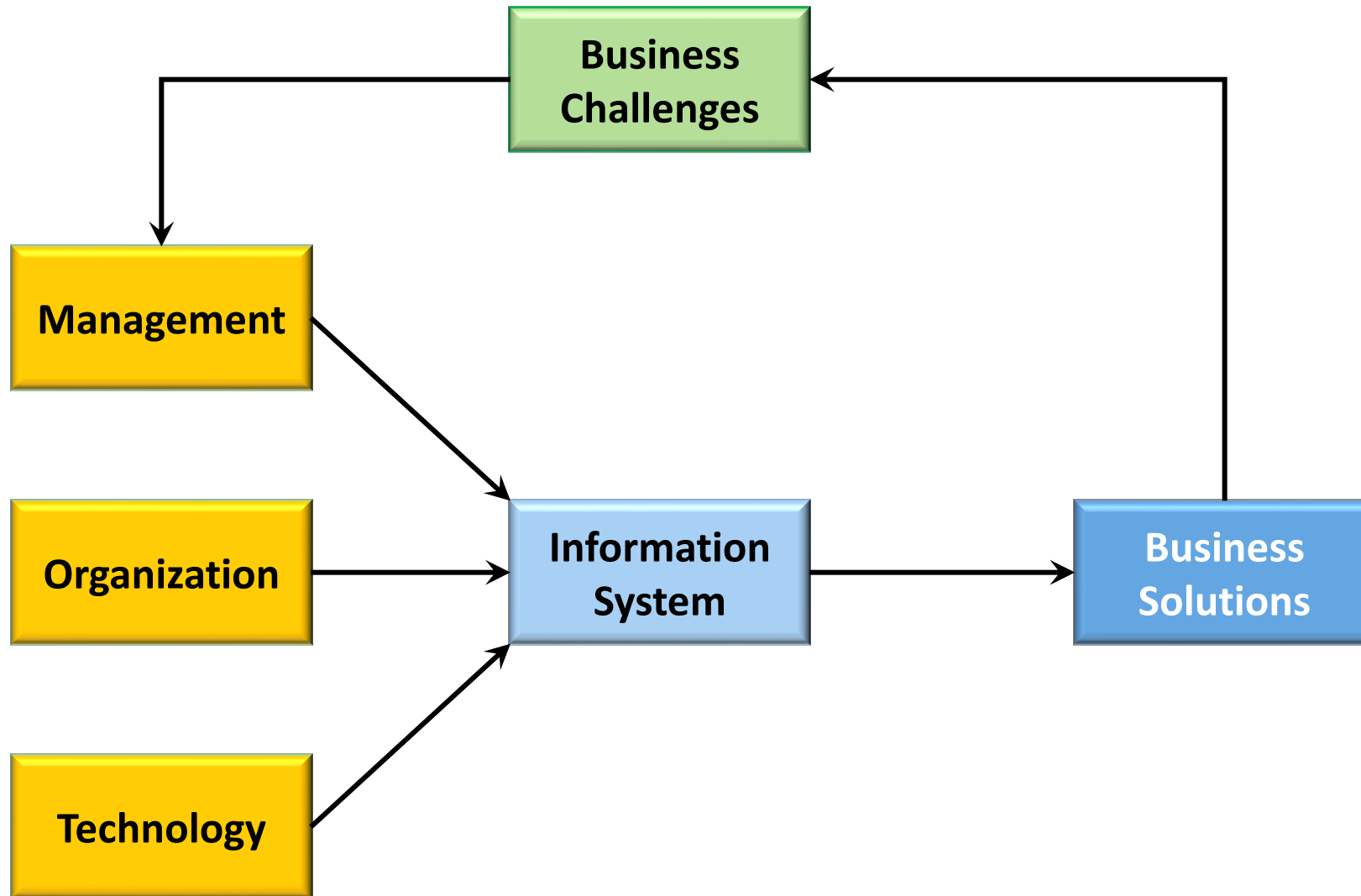
# Software Engineering and Project Management



# Information Management (MIS) Information Systems

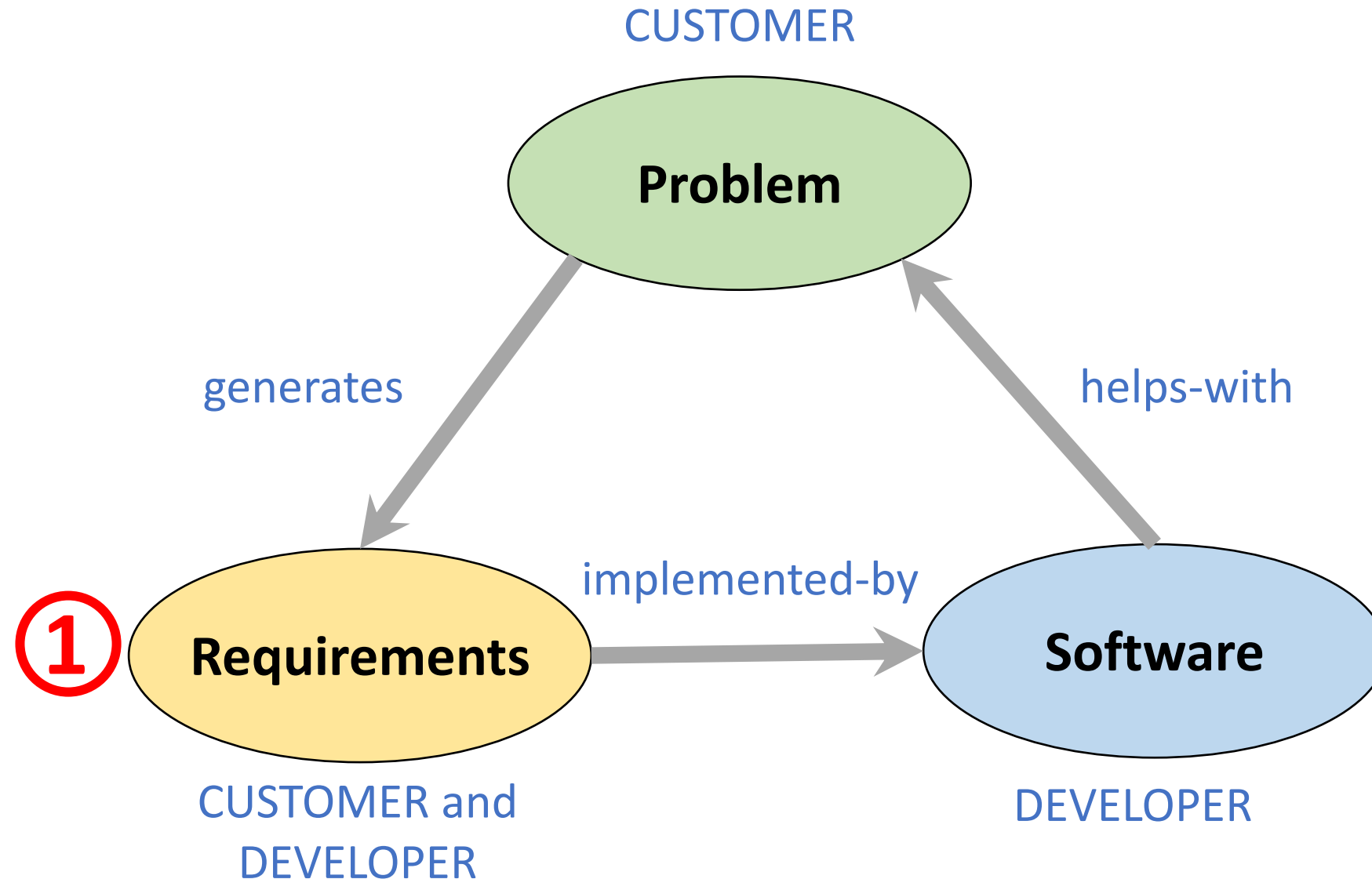


# Fundamental MIS Concepts

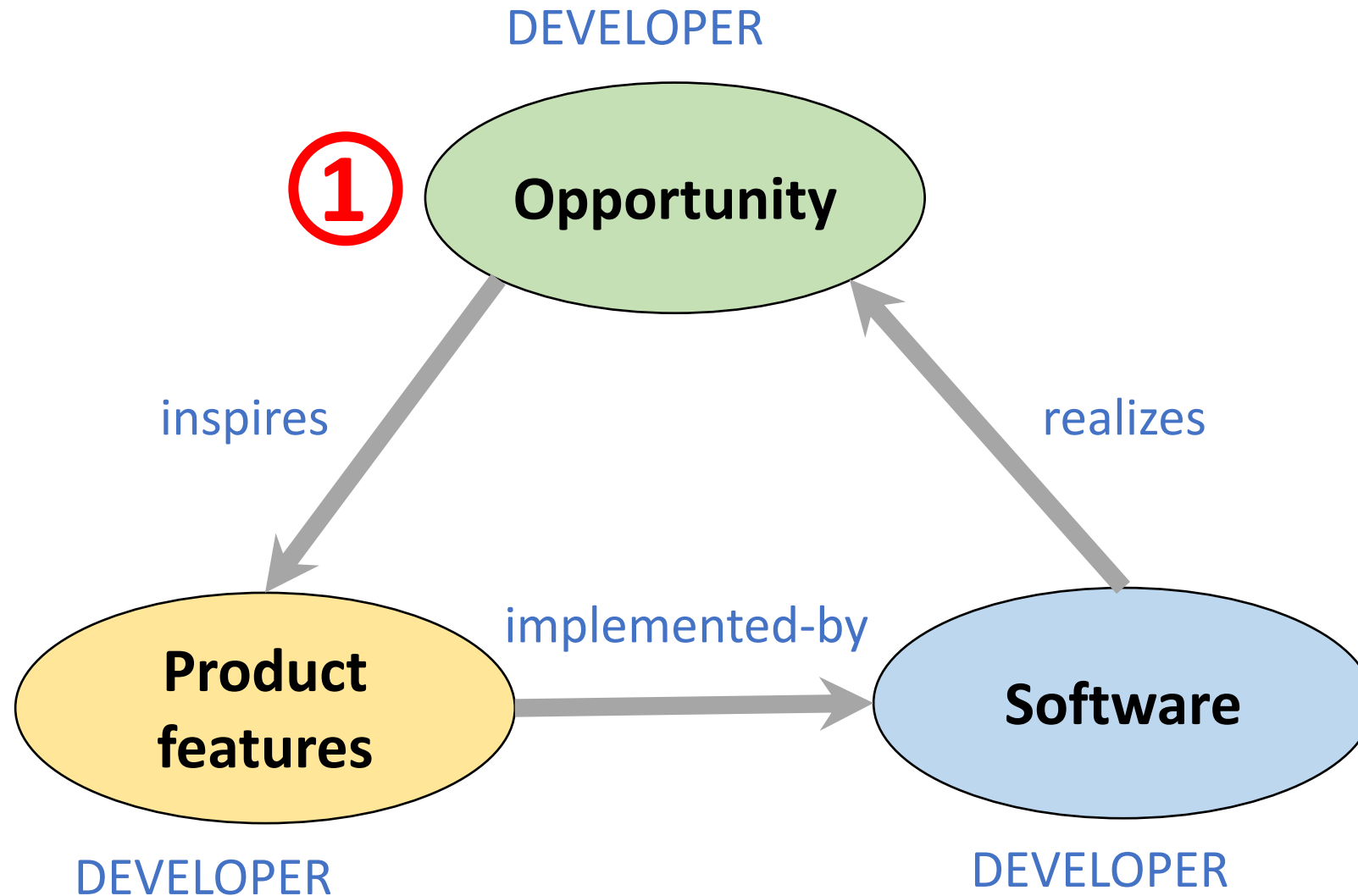




# Project-based software engineering

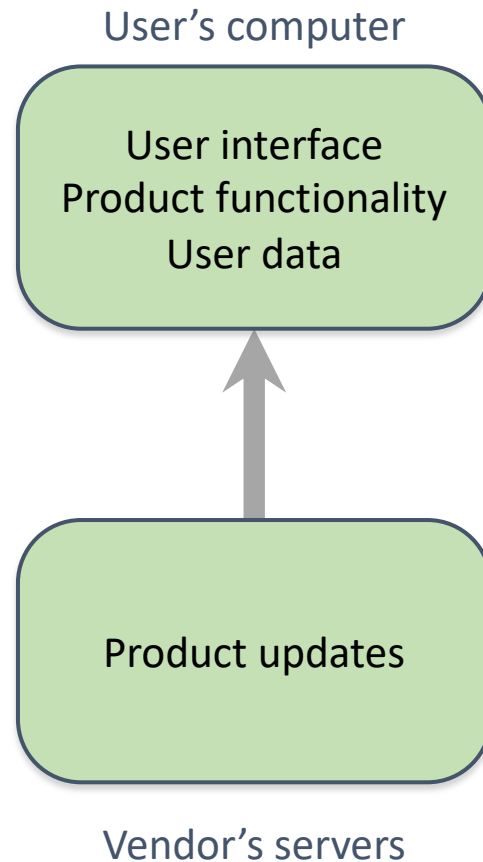


# Product software engineering

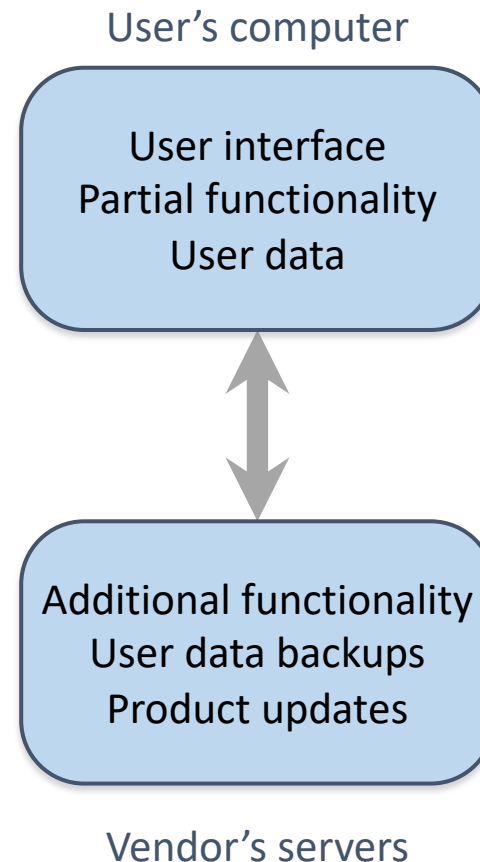


# Software execution models

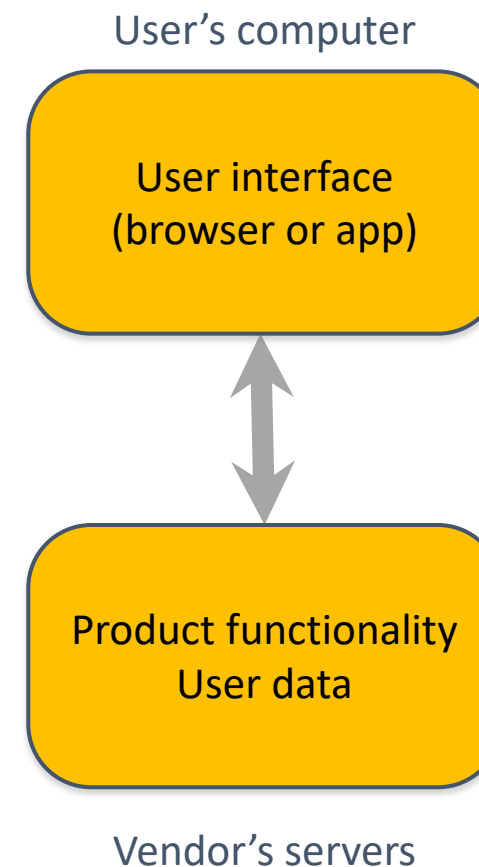
## Stand-alone execution



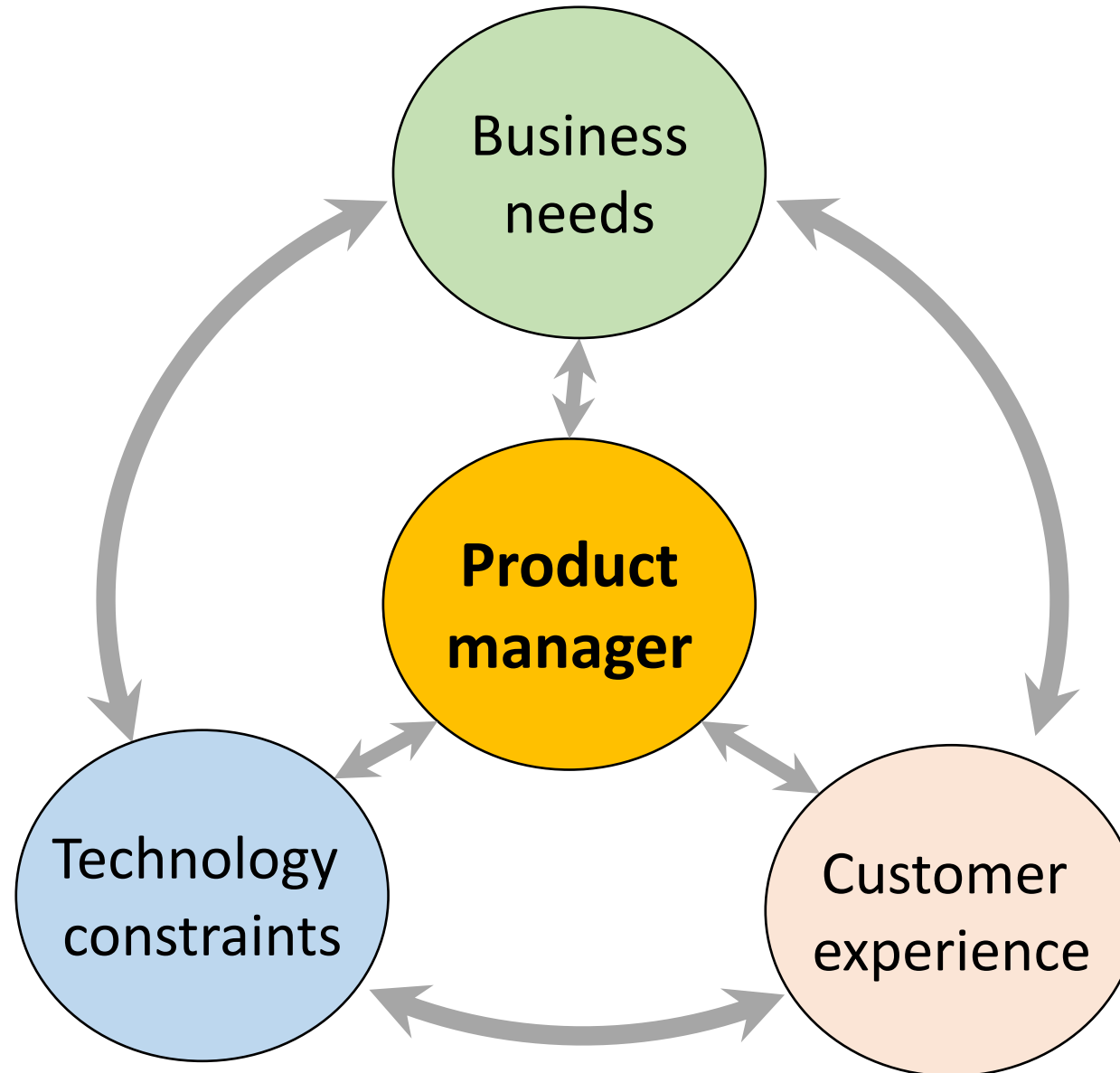
## Hybrid execution



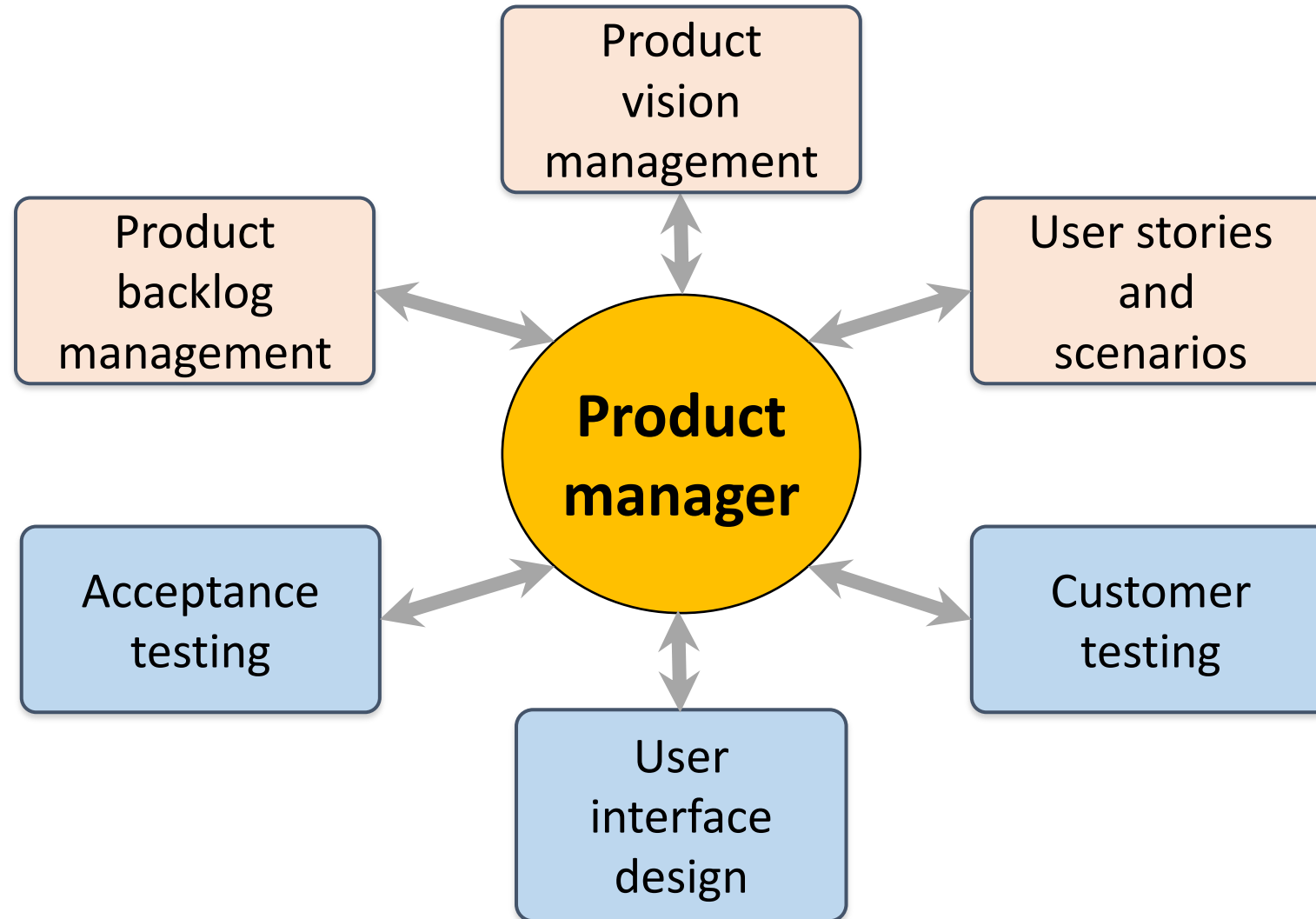
## Software as a service



# Product management concerns

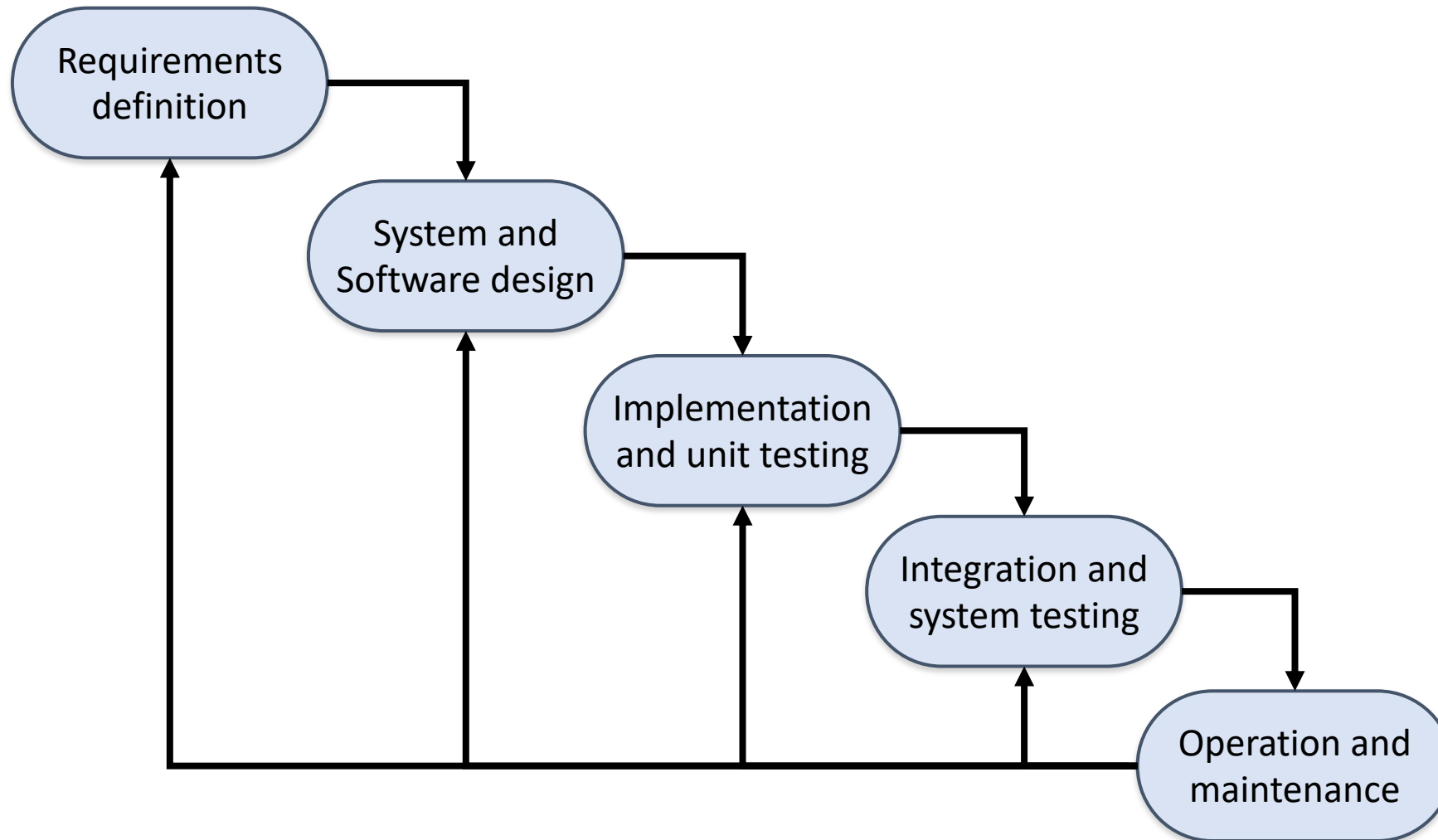


# Technical interactions of product managers



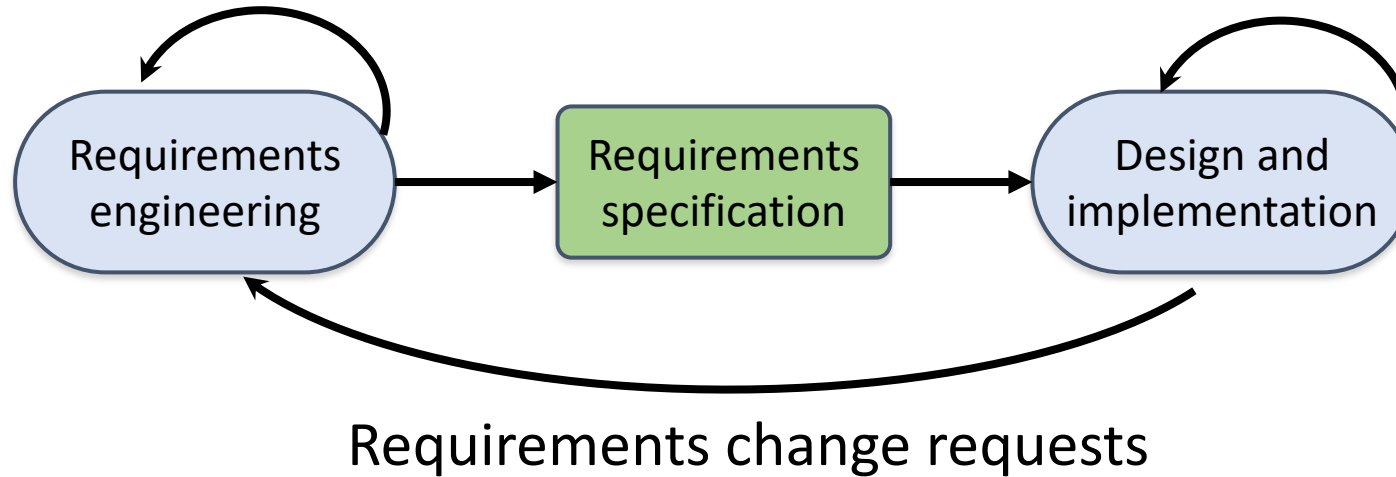
# Software Development Life Cycle (SDLC)

## The waterfall model

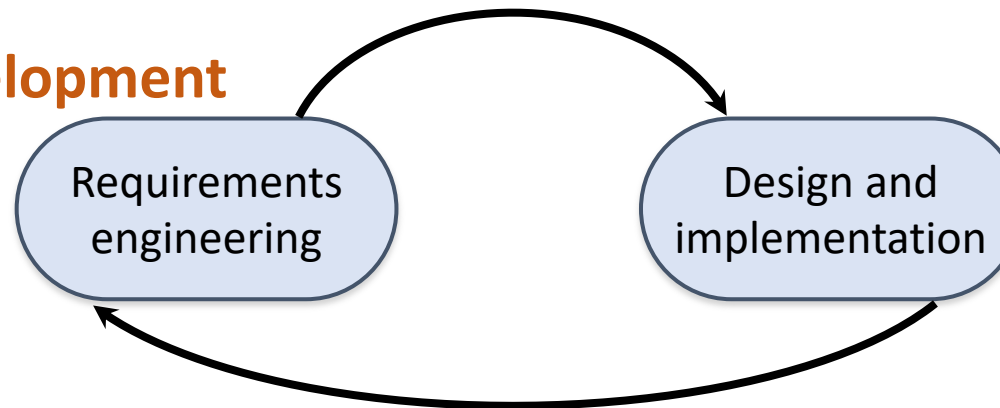


# Plan-based and Agile development

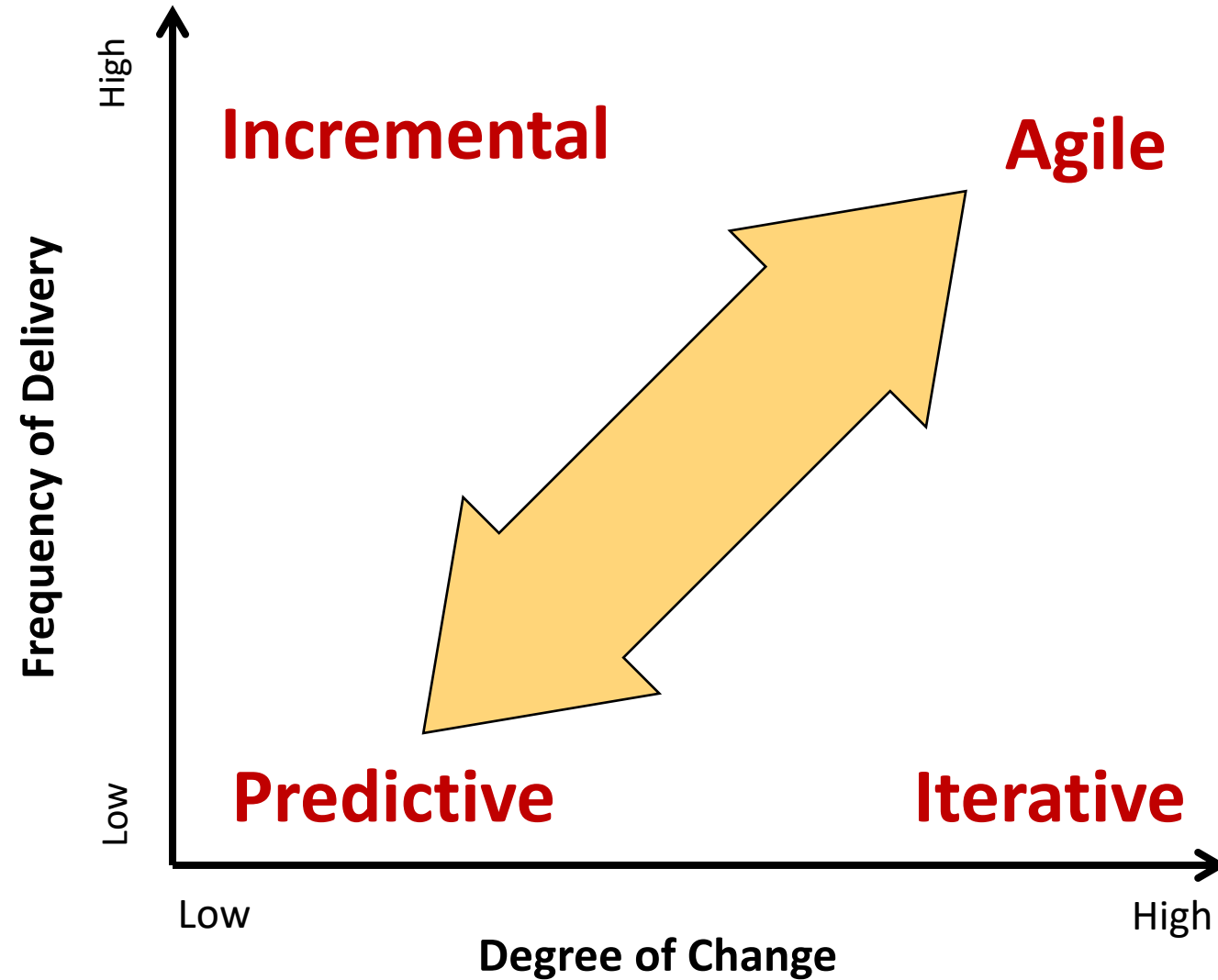
## Plan-based development



## Agile development

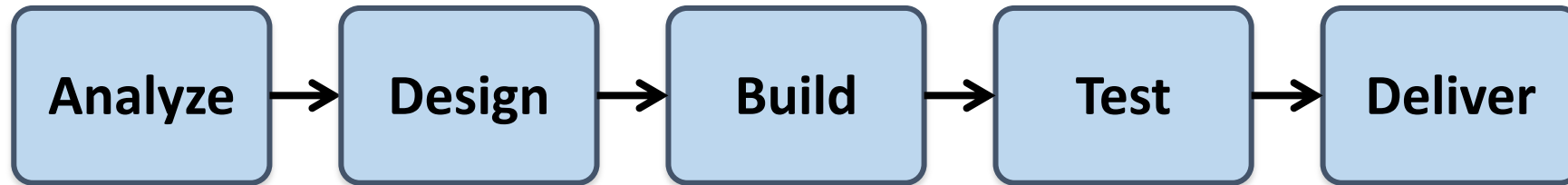


# The Continuum of Life Cycles

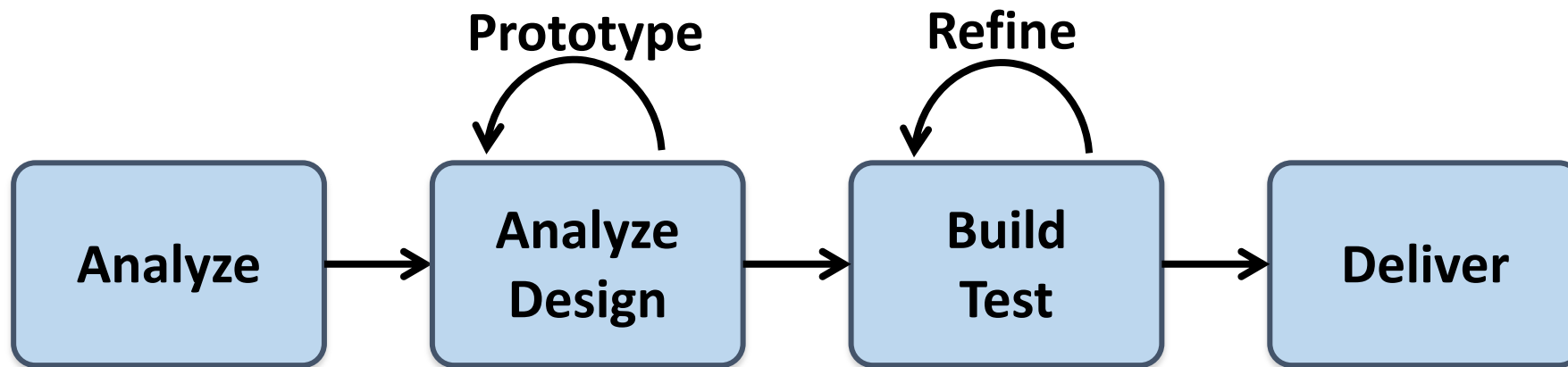




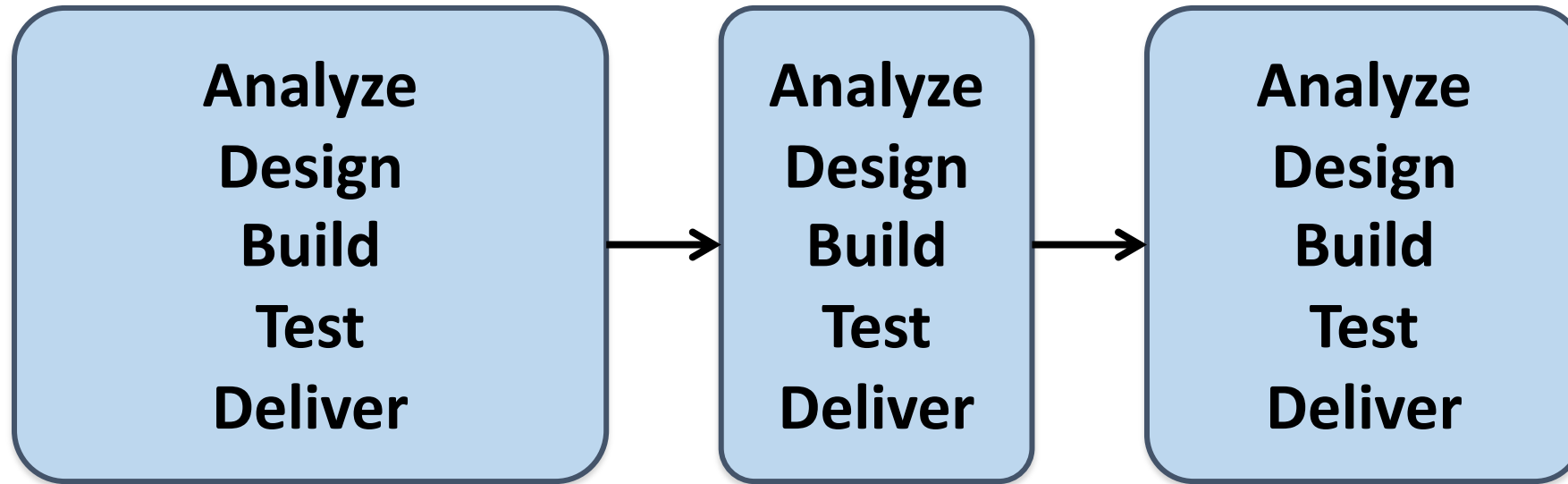
# Predictive Life Cycle



# Iterative Life Cycle

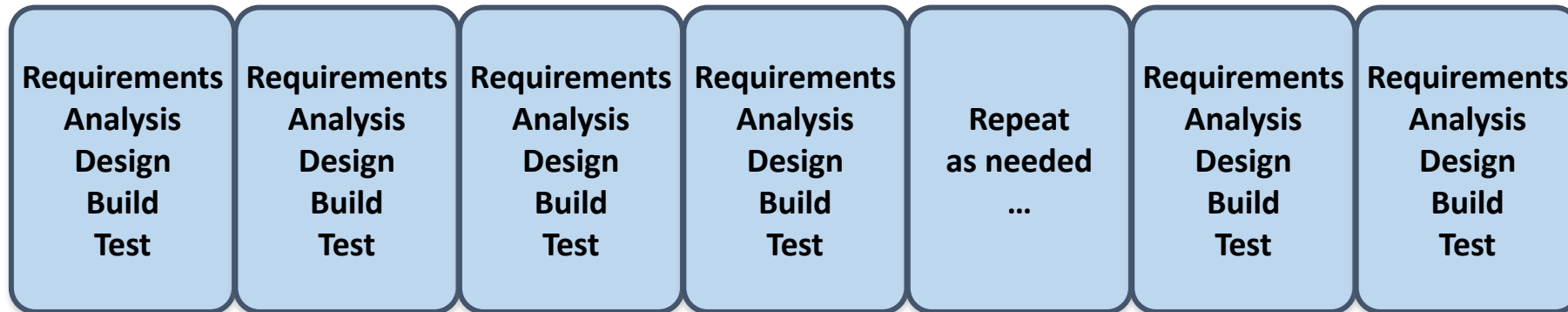


# A Life Cycle of Varying-Sized Increments

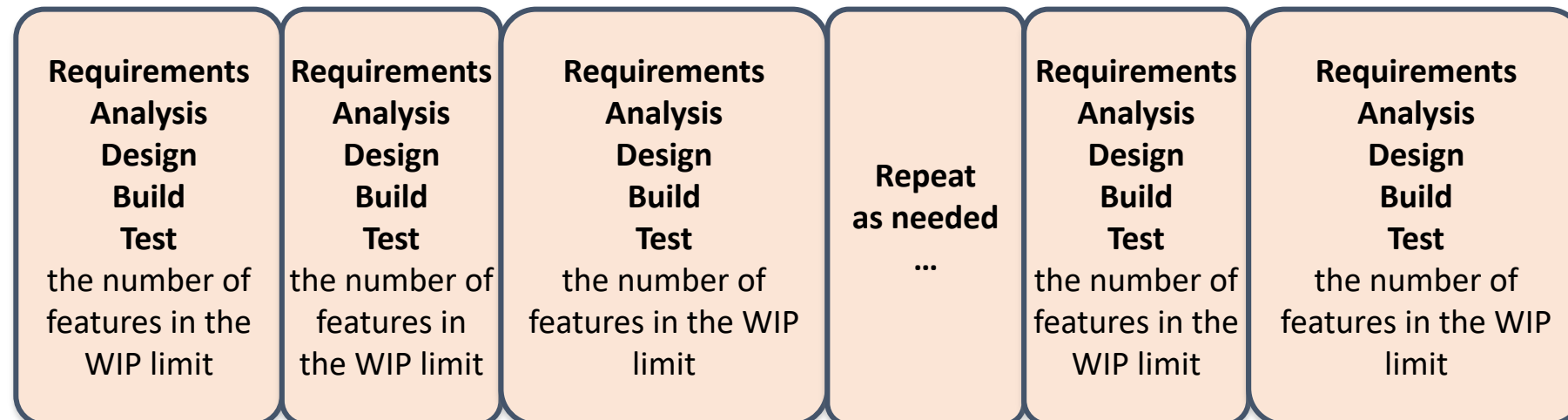


# Iteration-Based and Flow-Based Agile Life Cycles

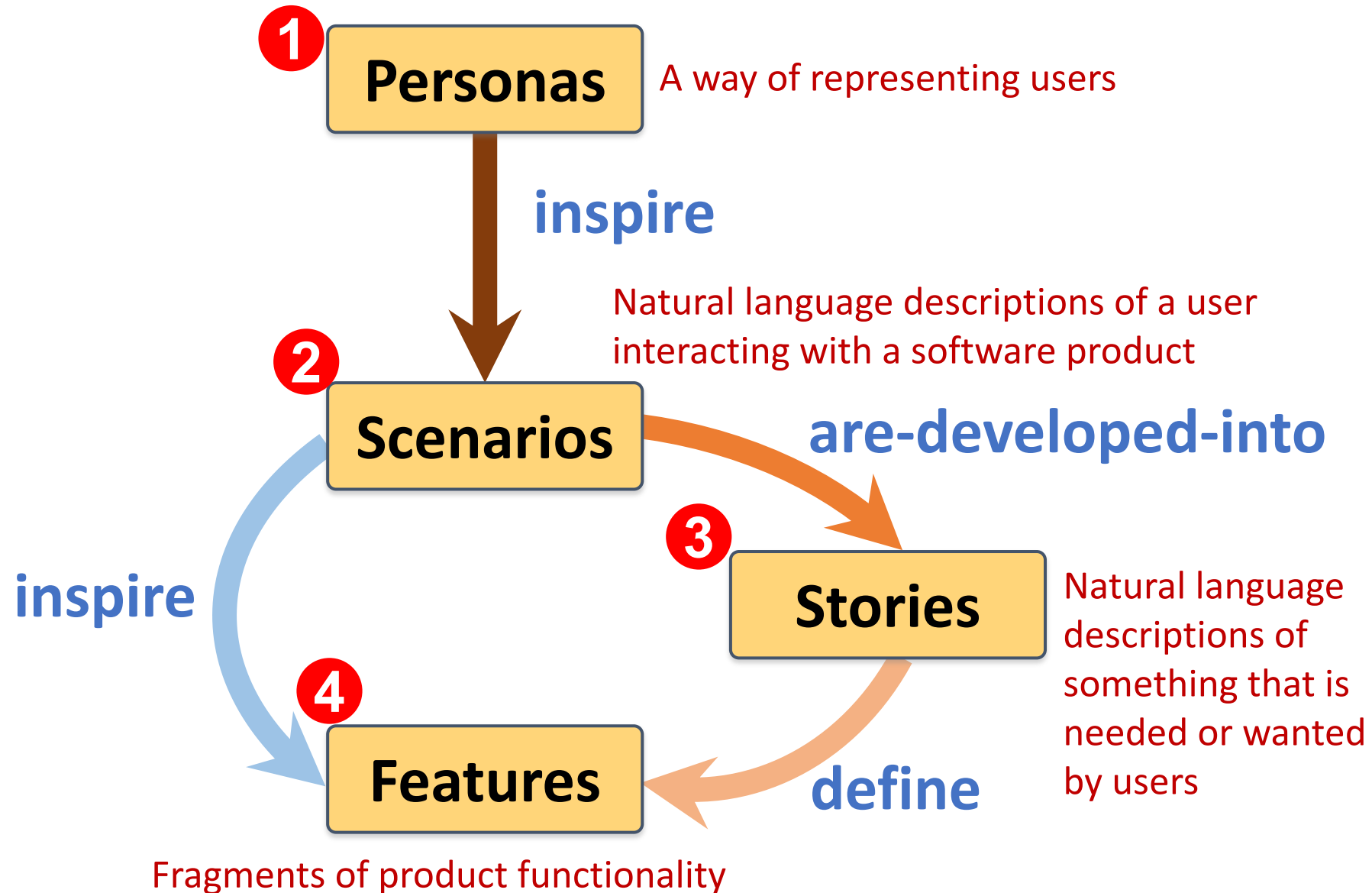
## Iteration-Based Agile



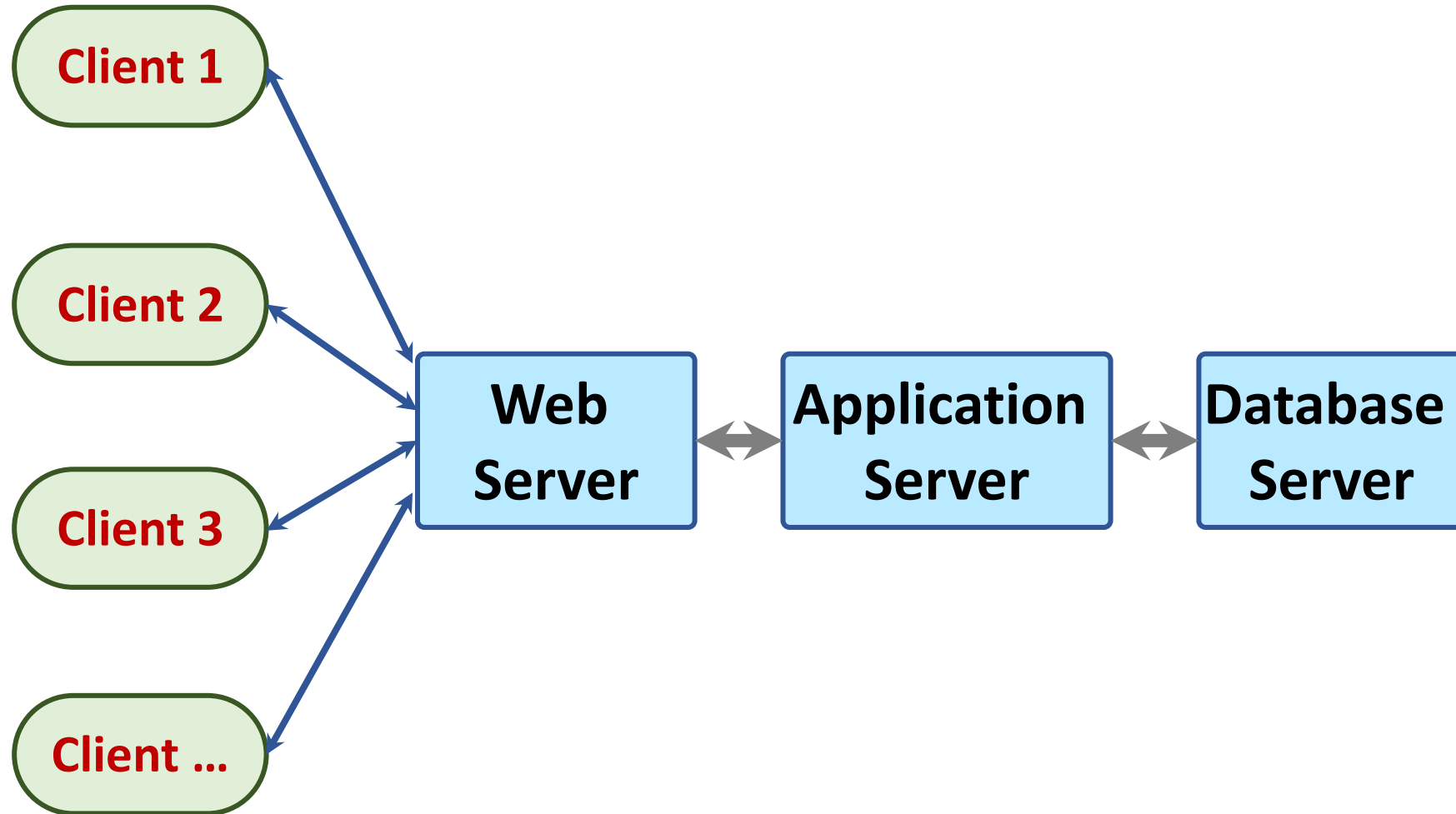
## Flow-Based Agile



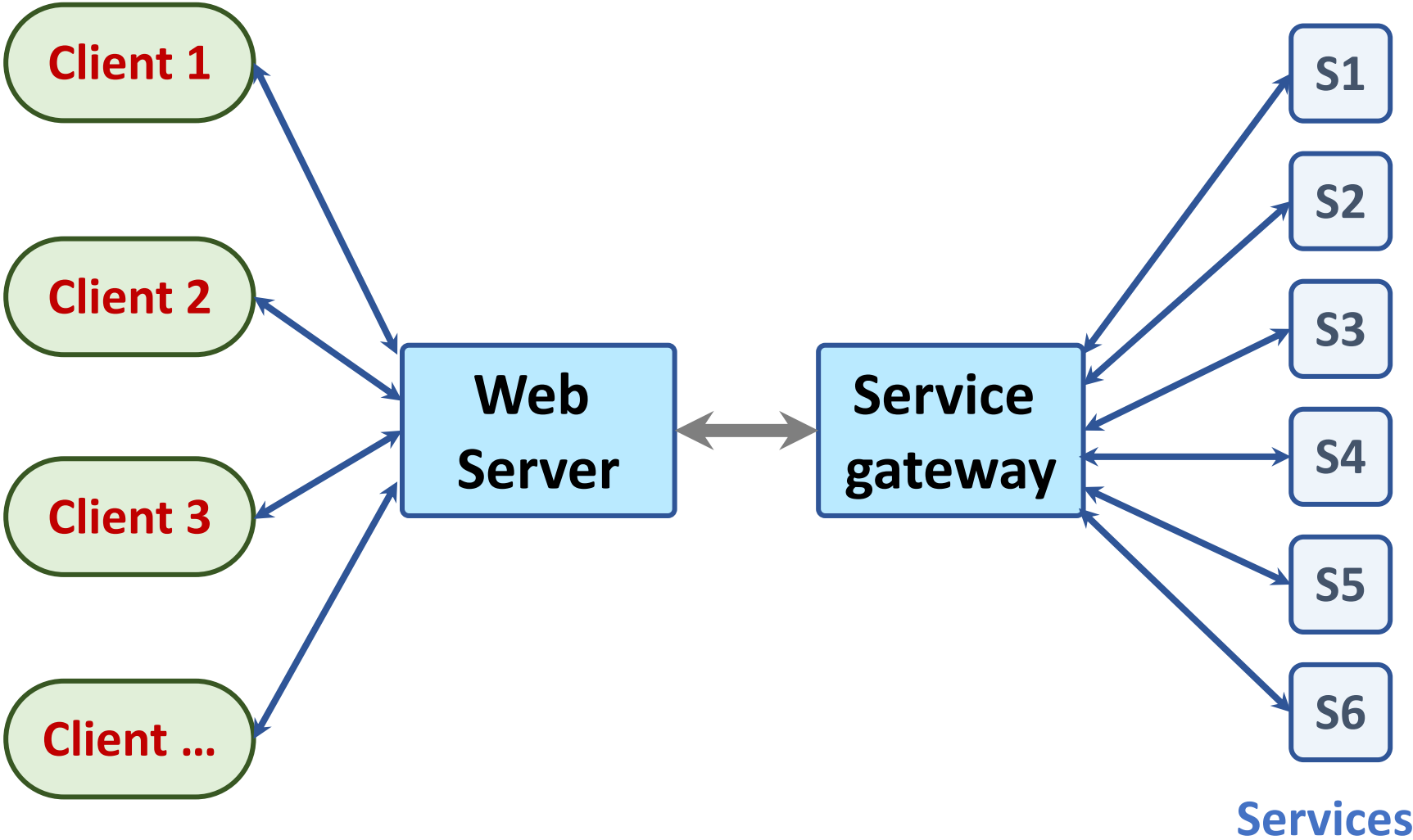
# From personas to features



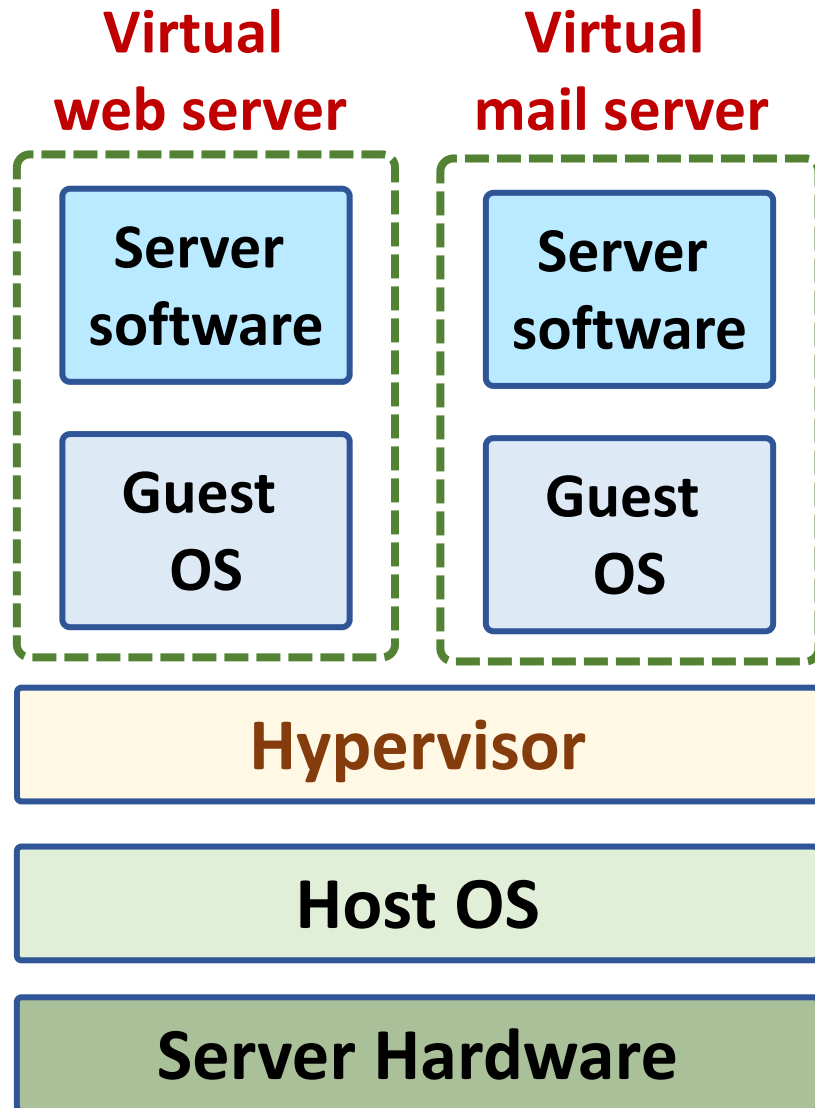
# Multi-tier client-server architecture



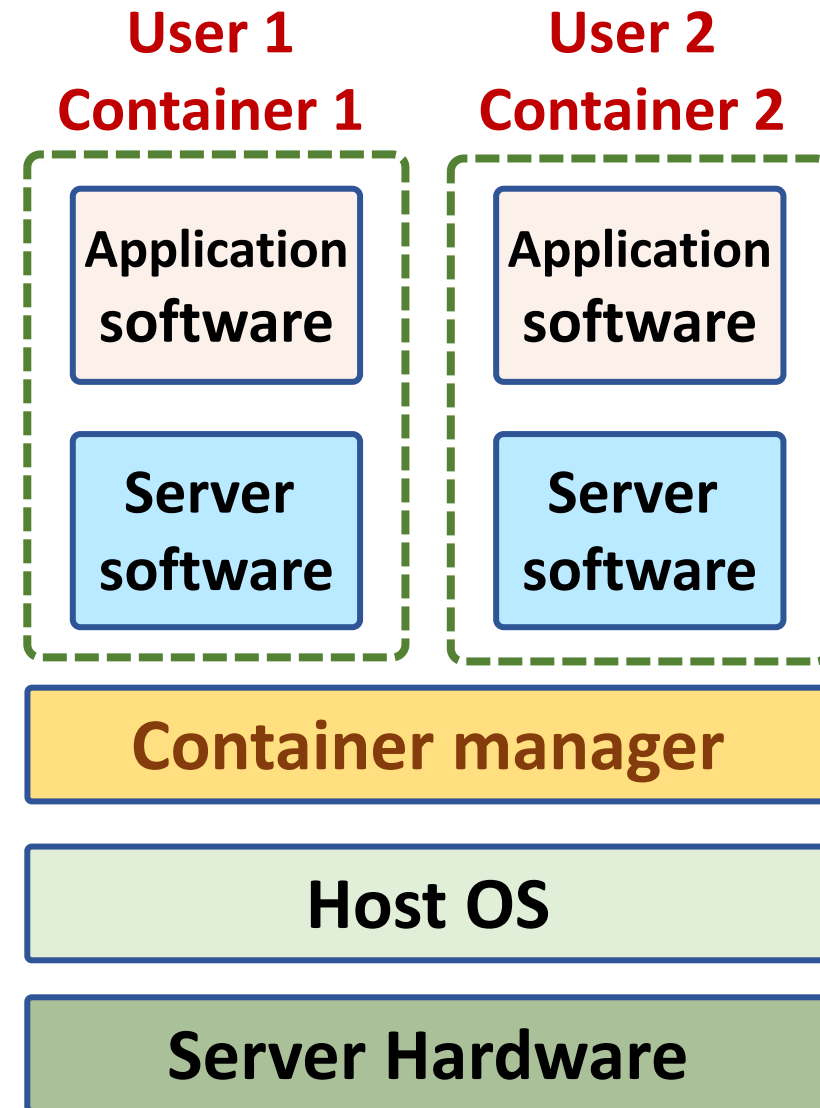
# Service-oriented Architecture



# VM

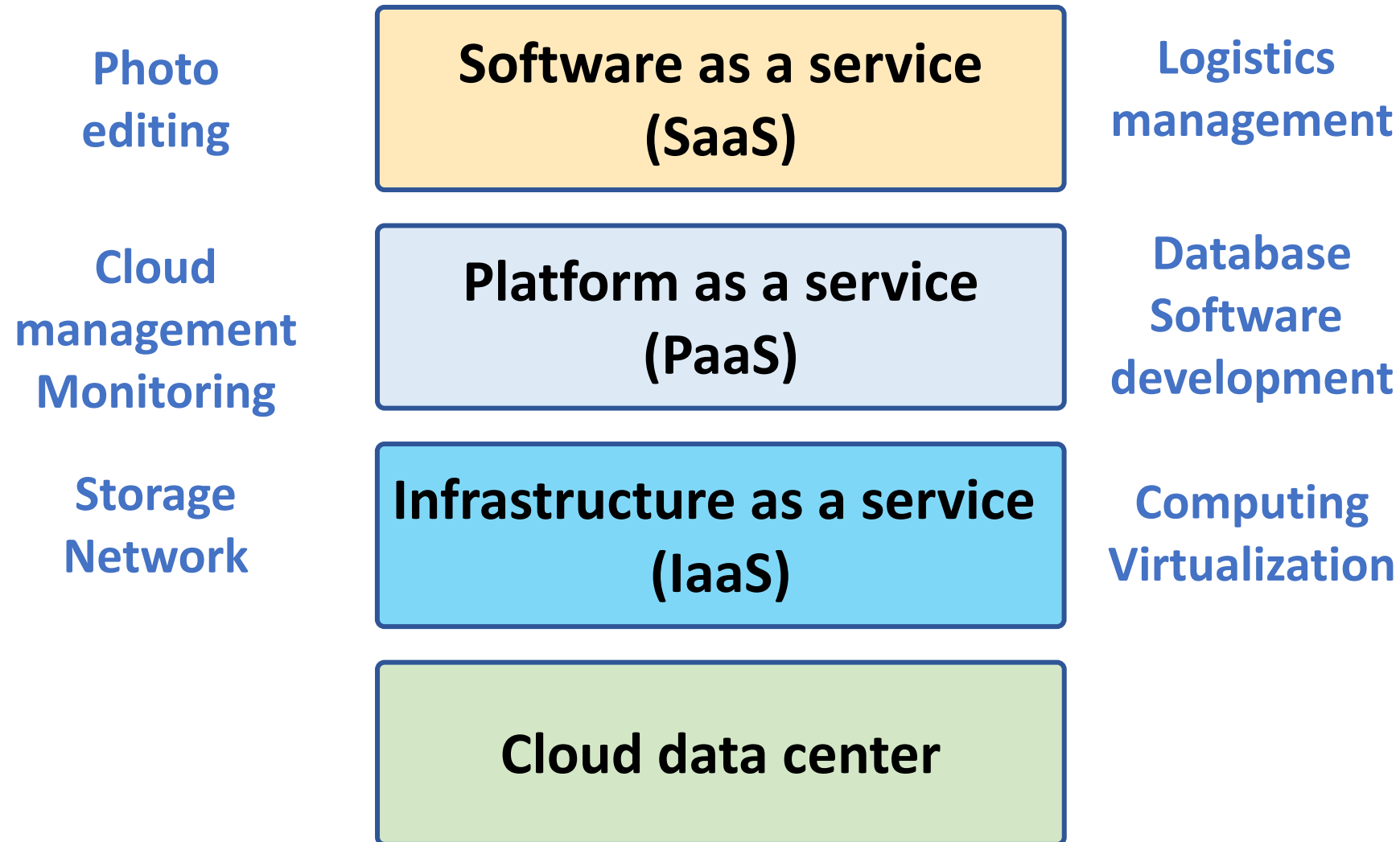


# Container

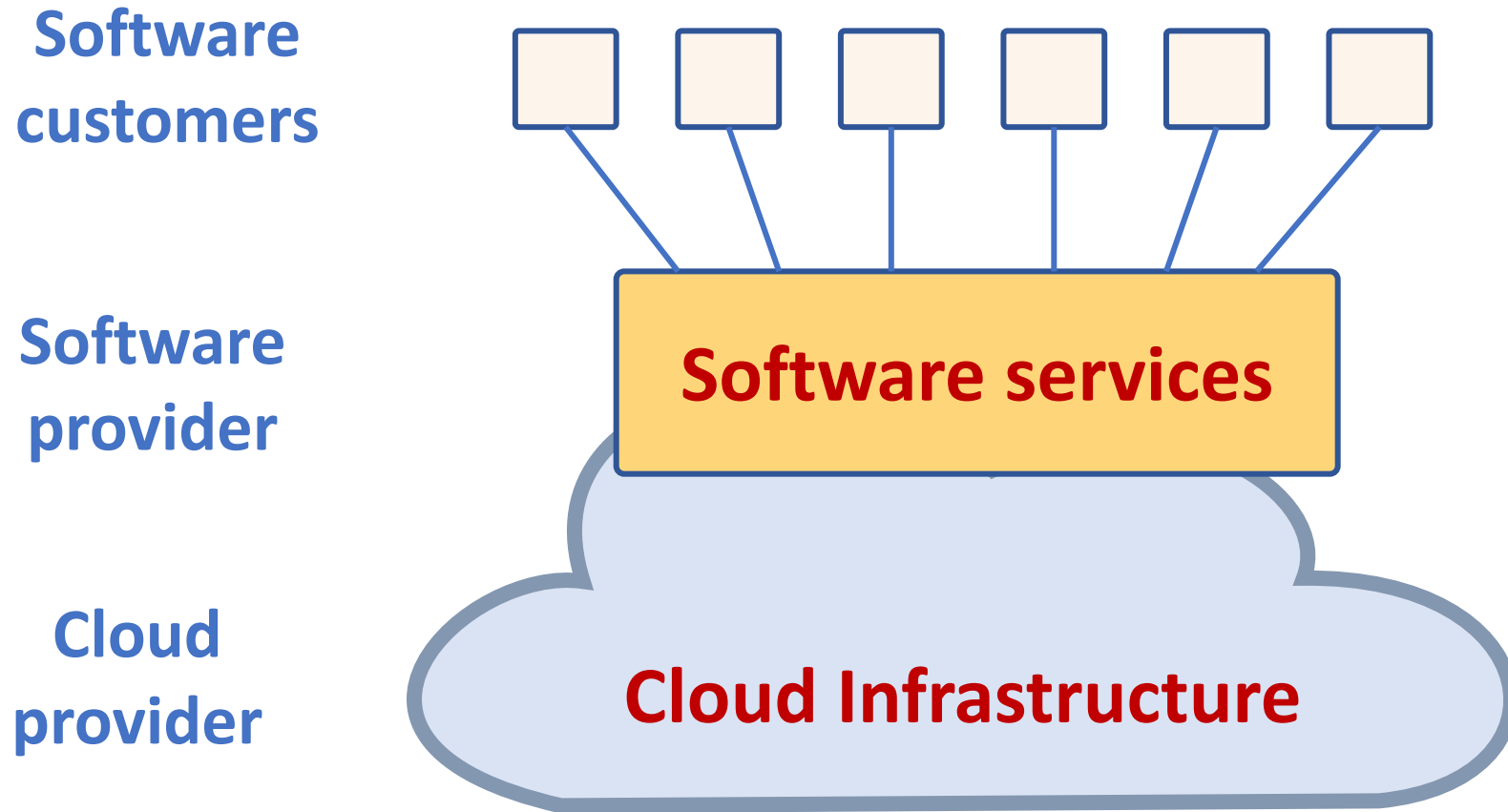




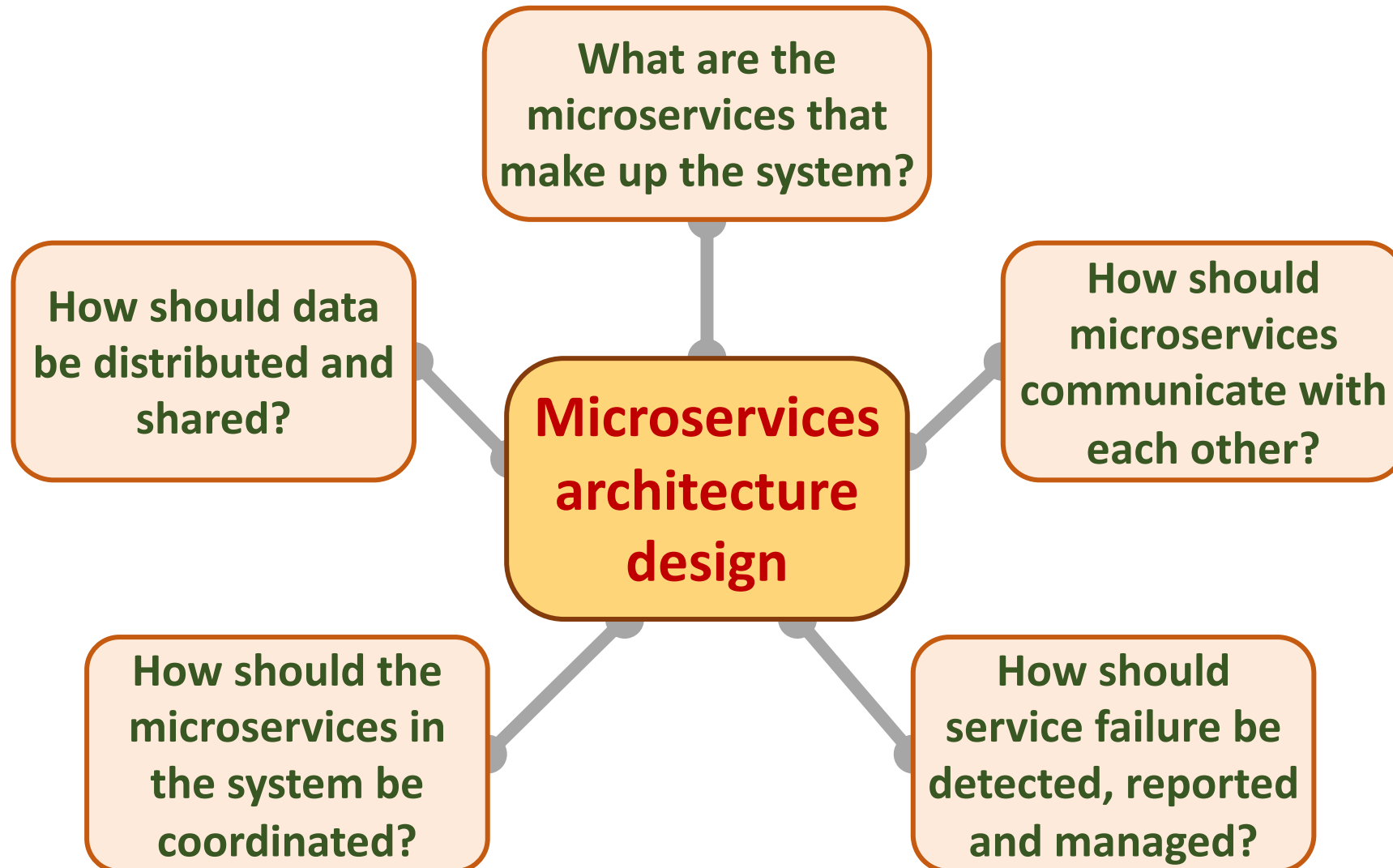
# Everything as a service



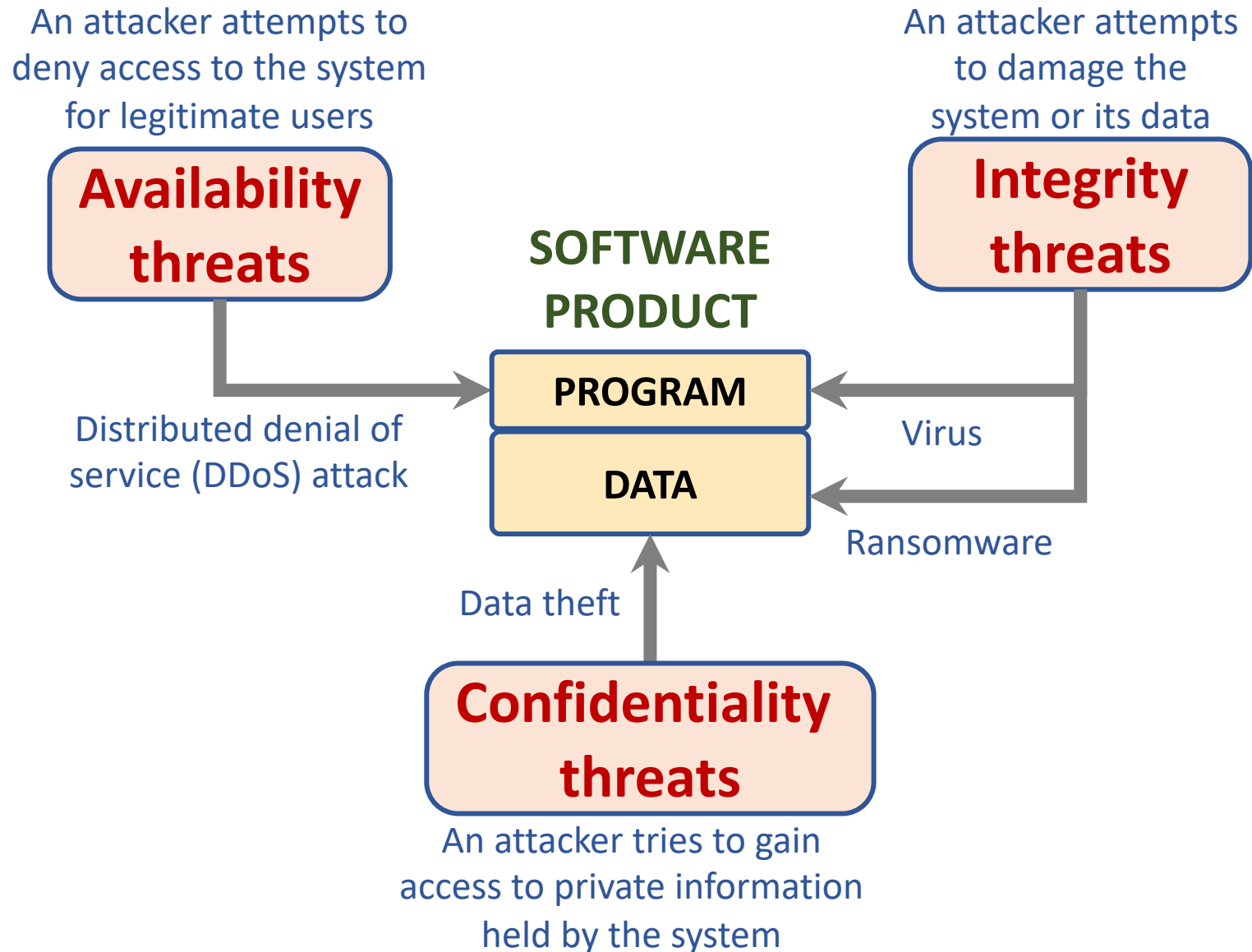
# Software as a service



# Microservices architecture – key design questions



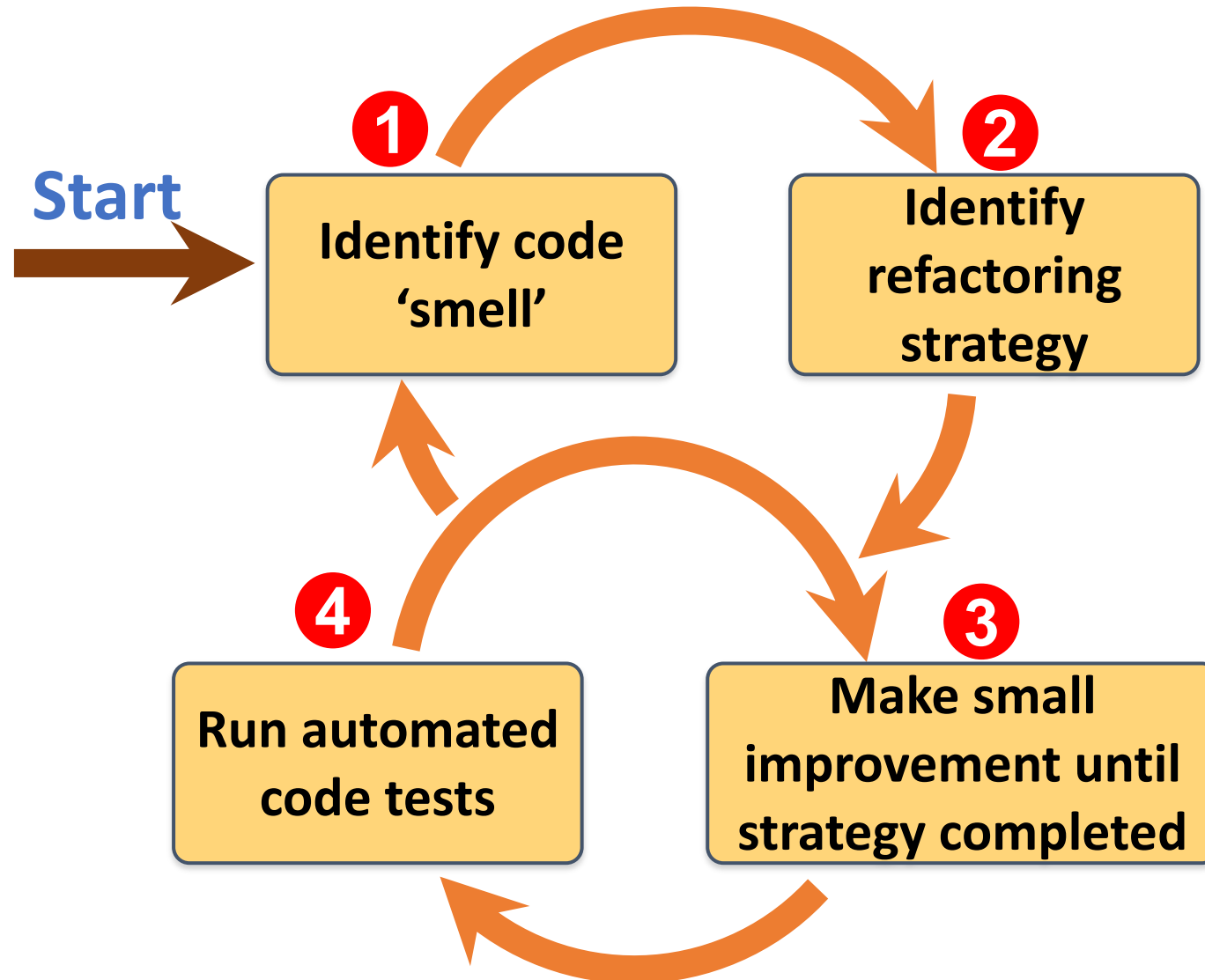
# Types of security threat



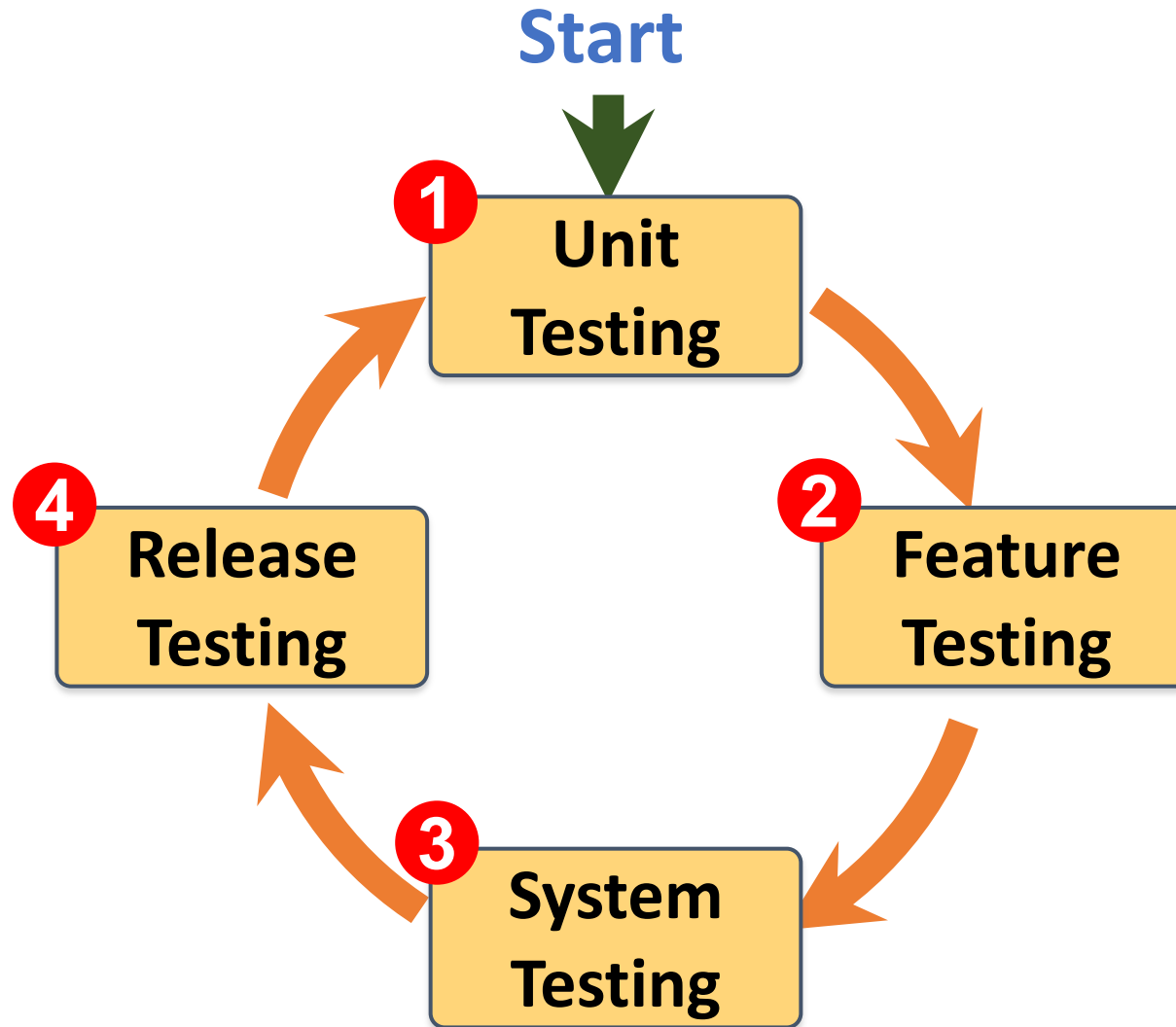
# Software product quality attributes



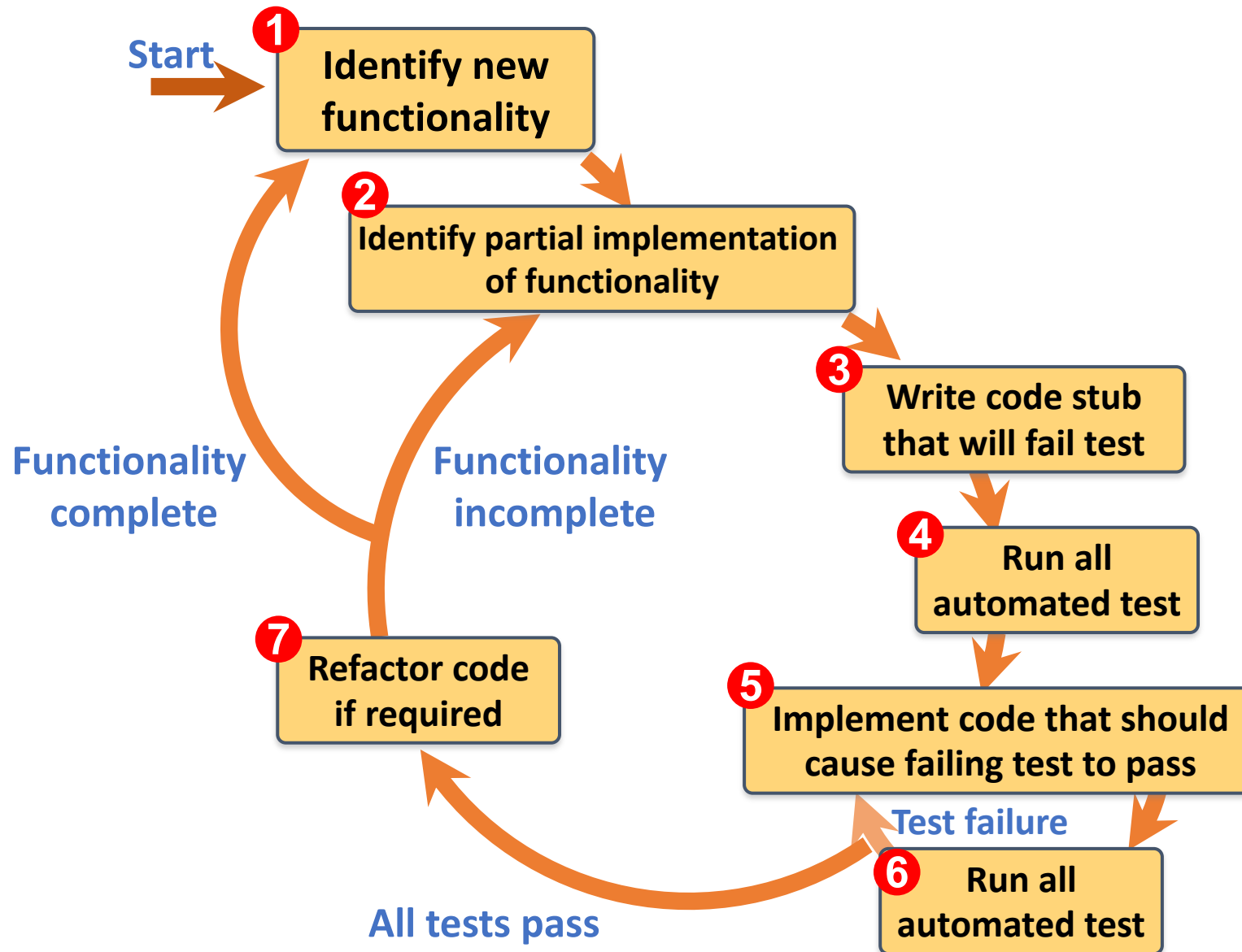
# A refactoring process



# Functional testing

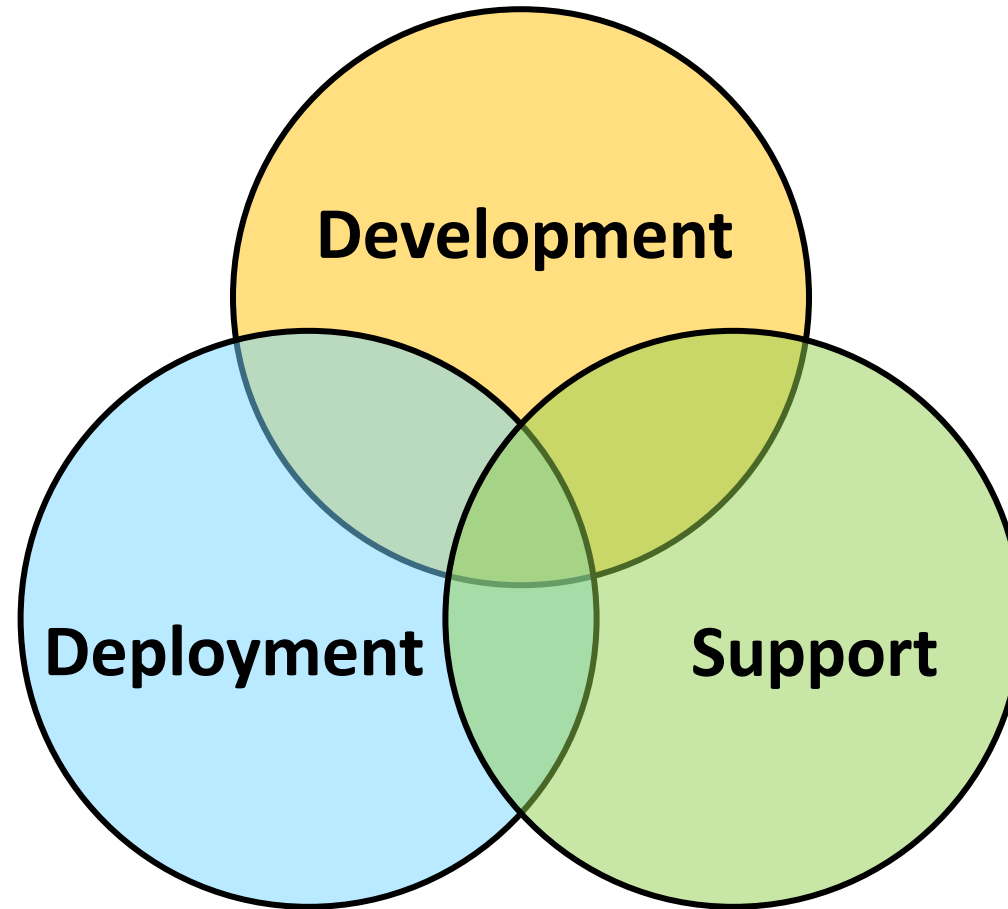


# Test-driven development (TDD)



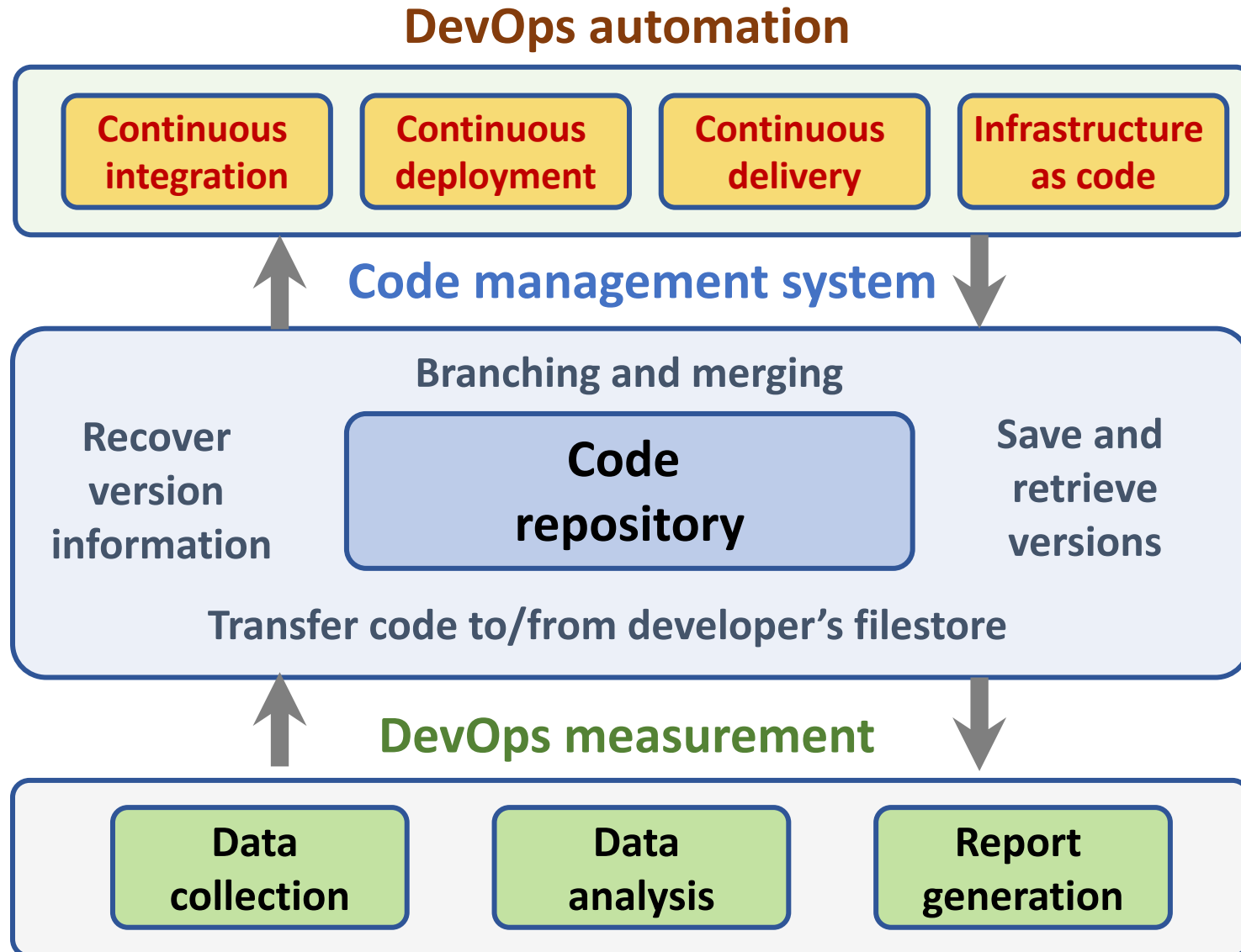


# DevOps



## Multi-skilled DevOps team

# Code management and DevOps



**Cloud-Based Software:  
Virtualization and containers,  
Everything as a service,  
Software as a service**

# The cloud

- The cloud is made up of **very large number of remote servers** that are offered **for rent** by companies that own these servers.
  - **Cloud-based servers** are **'virtual servers'**, which means that they are implemented in **software** rather than hardware.

# The cloud

- You can **rent** as many servers as you need, run your software on these servers and make them available to your customers.
  - Cloud servers can be started up and shut down as demand changes.
- You may **rent a server and install your own software**, or you may **pay for access to software products that are available on the cloud**.

# Cloud Software:

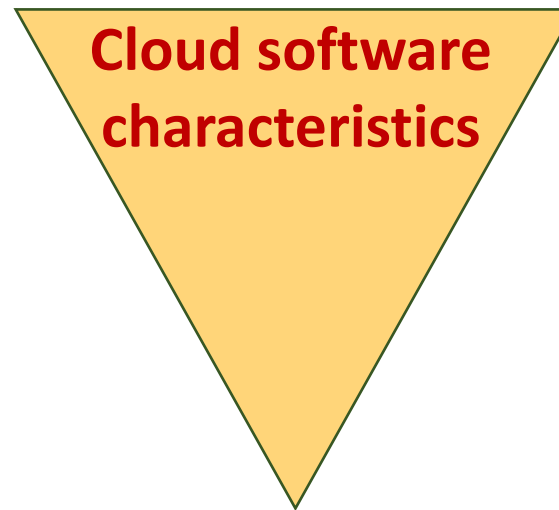
## Scaleability, elasticity and resilience

### Scaleability

Maintain performance  
as load increases

### Elasticity

Adapt the server configuration  
to changing demands



### Resilience

Maintain service in the  
event of server failure

# Scaleability

- **Scaleability** reflects the ability of your software to cope with **increasing numbers of users**.
  - As the **load** on your software **increases**, your software **automatically adapts** so that the system performance and response time is maintained.

# Elasticity

- **Elasticity** is related to scalability but also allows for **scaling-down** as well as **scaling-up**.
  - You can monitor the demand on your application and add or remove servers dynamically as the number of users change.



# Resilience

- **Resilience** means that you can **design your software architecture to tolerate server failures.**
- You can make **several copies** of your software **concurrently available**. If one of these fails, the others continue to provide a service.

# Benefits of using the cloud for software development

- **Cost**

You avoid the initial capital costs of hardware procurement

- **Startup time**

Using the cloud, you can have servers up and running in a few minutes.

- **Server choice**

If you find that the servers you are renting are not powerful enough, you can upgrade to more powerful systems. You can add servers for short-term requirements, such as load testing.

- **Distributed development**

If you have a distributed development team, working from different locations, all team members have the same development environment and can seamlessly share all information.

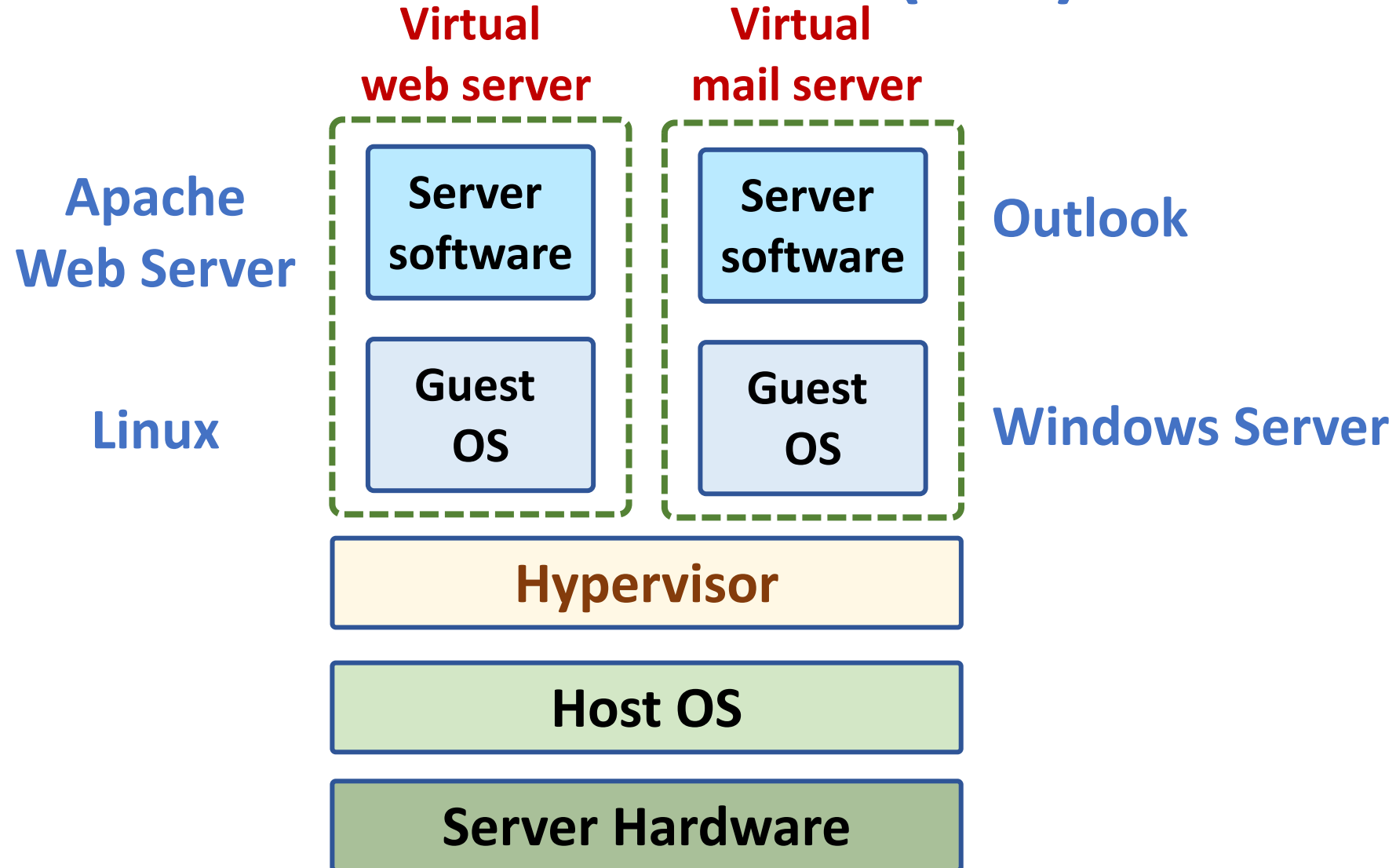
# Virtual cloud servers

- A **virtual server** runs on an underlying **physical computer** and is made up of an operating system plus a set of software packages that provide the server functionality required.
- A **virtual server** is a **stand-alone system** that can **run on any hardware in the cloud**.
  - This ‘run anywhere’ characteristic is possible because the virtual server has **no external dependencies**.

# Virtual cloud servers

- **Virtual machines (VMs)**, running on physical server hardware, can be used to implement virtual servers.
  - A **hypervisor** provides **hardware emulation** that simulates the operation of the underlying hardware.
- If you use a virtual machine to implement virtual servers, you have exactly the same hardware platform as a physical server.

# Implementing a virtual server as a Virtual Machine (VM)



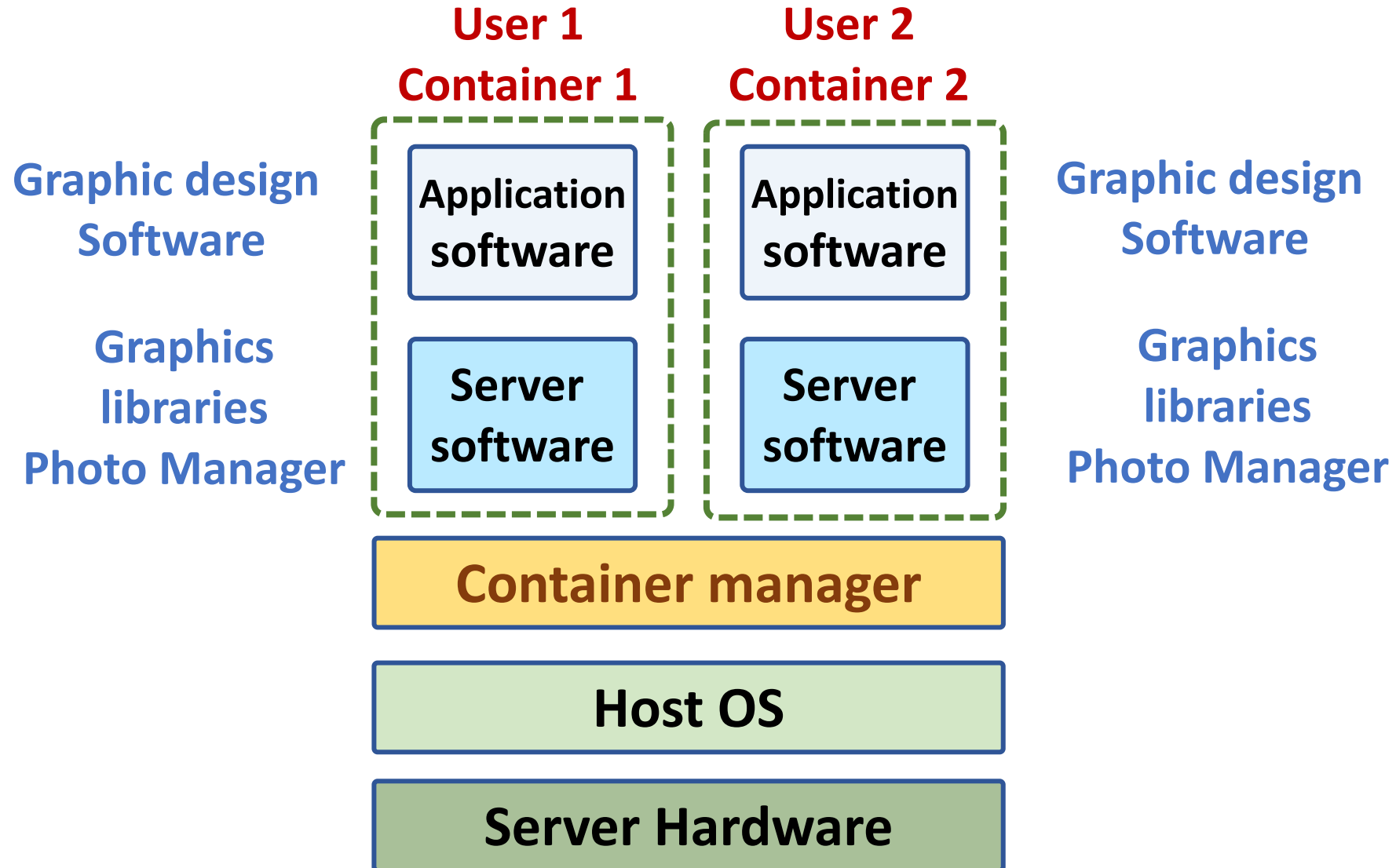
# Container-based virtualization

- If you are running a **cloud-based system** with **many instances of applications or services**, these all use the same operating system, you can use a **simpler virtualization technology** called **'containers'**.
- Using **containers** accelerates the process of **deploying virtual servers on the cloud**.
  - **Containers** are usually **megabytes** in size whereas **VMs** are **gigabytes**.
  - **Containers** can be started and shut down in a few **seconds** rather than the few **minutes** required for a **VM**.

# Container-based virtualization

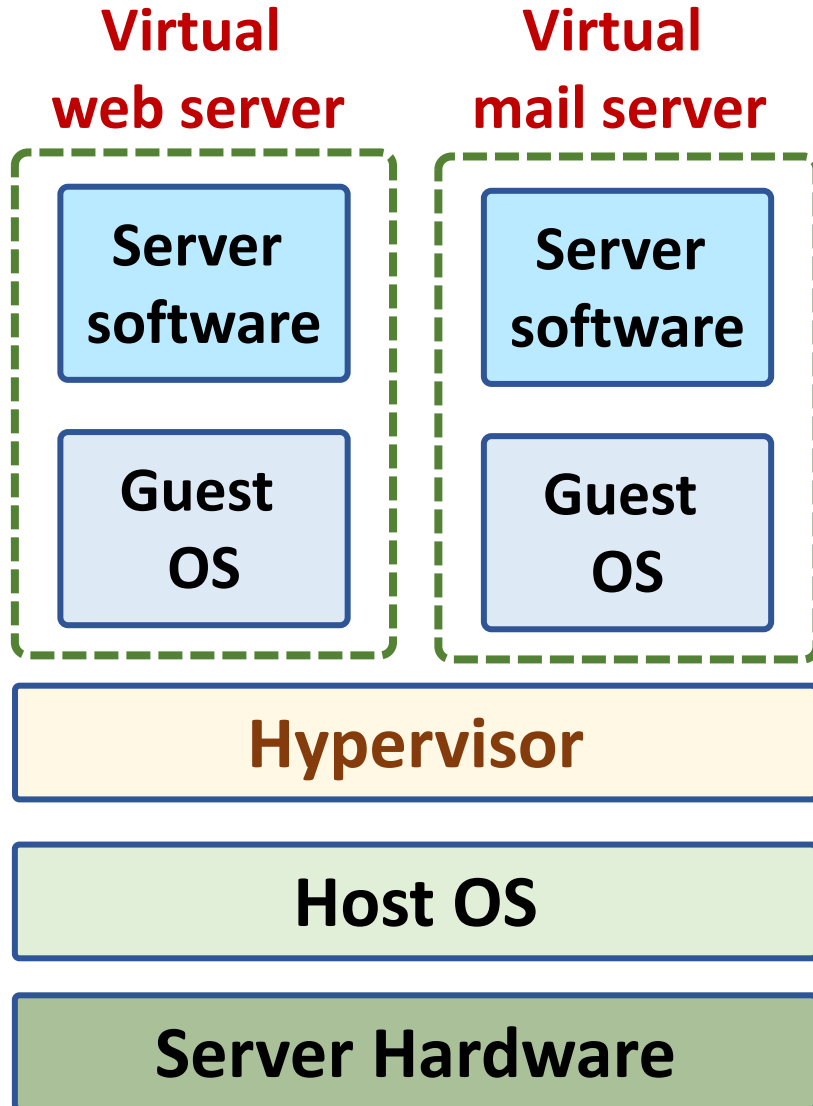
- **Containers** are an operating system virtualization technology that allows independent servers to share a single operating system.
  - They are particularly useful for providing isolated application services where each user sees their own version of an application.

# Using containers to provide isolated services

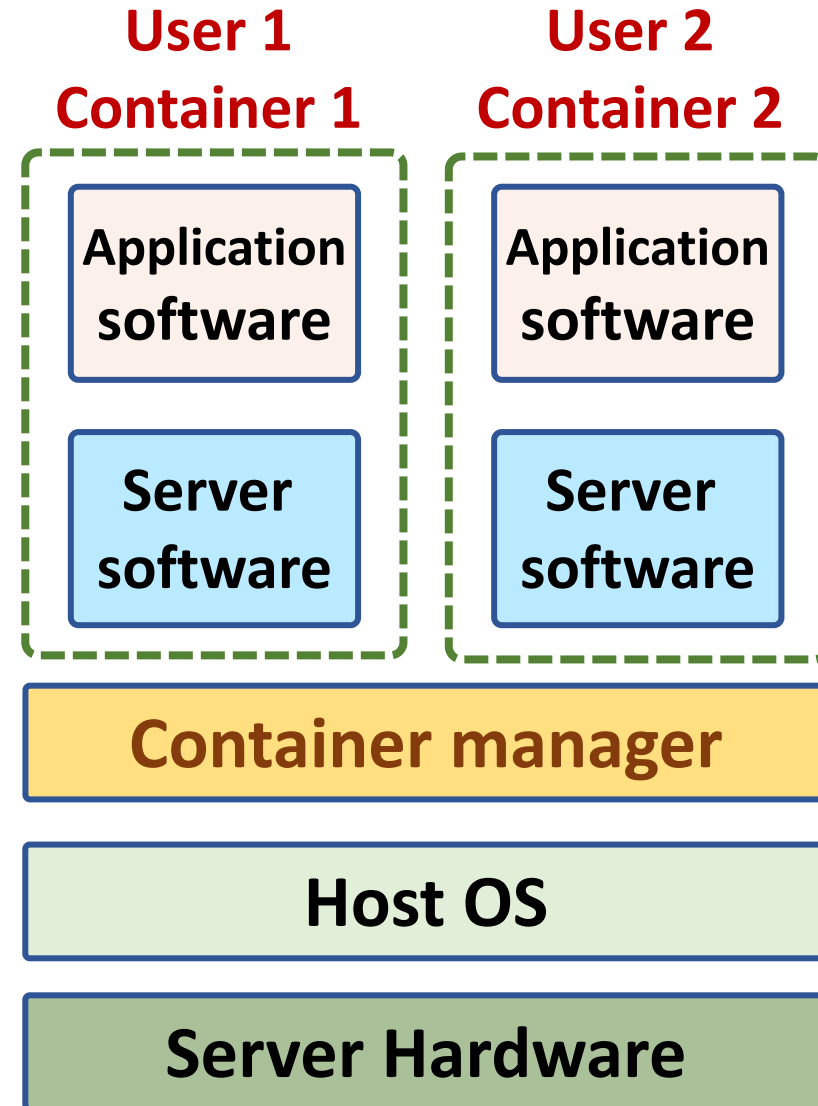


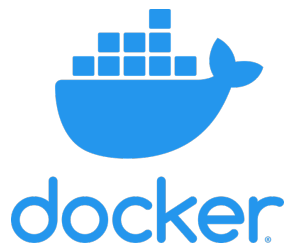


# VM



# Container

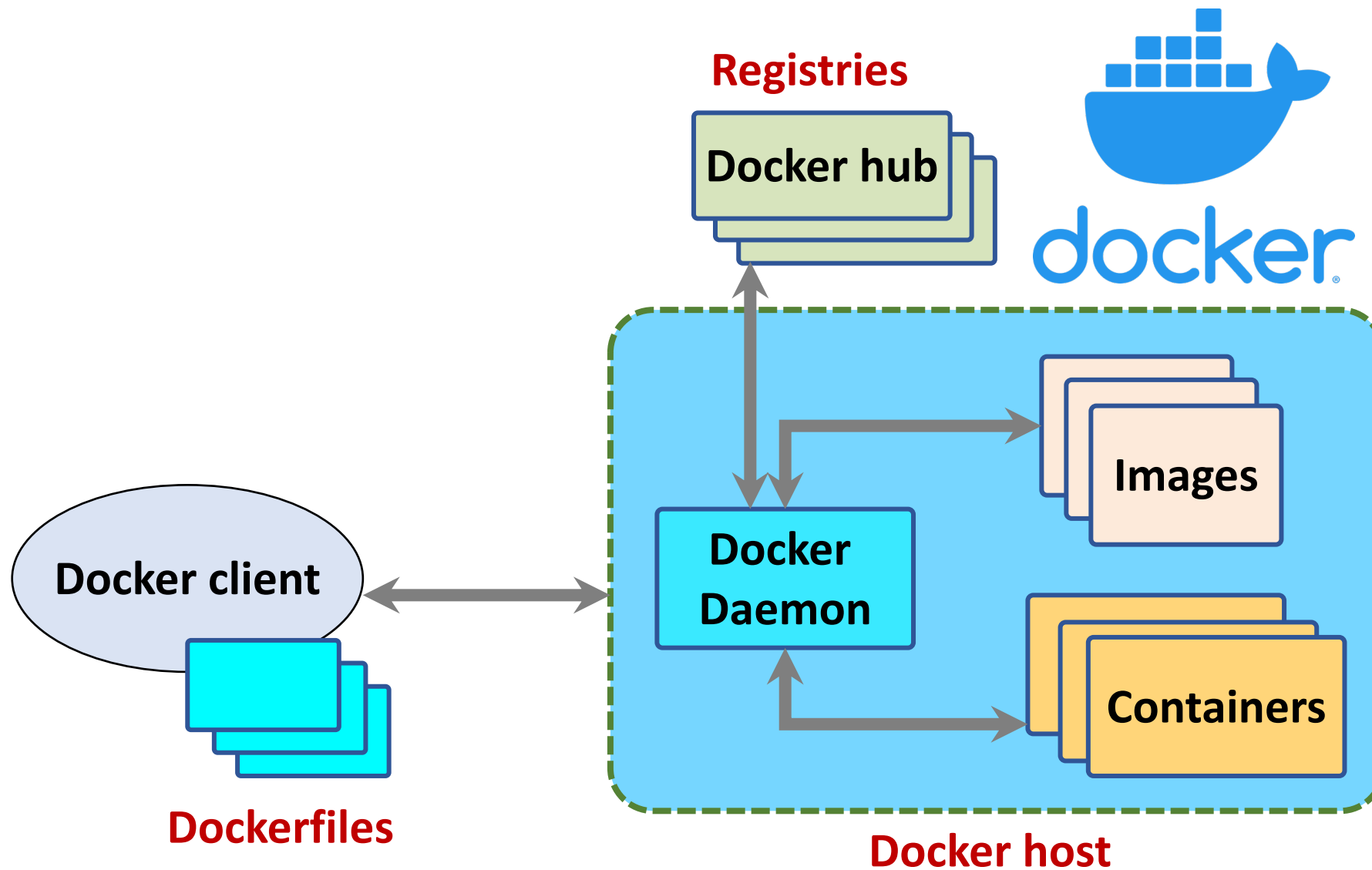




# Docker

- **Containers** were developed by Google around 2007 but containers became a mainstream technology around 2015.
- An open-source project called **Docker** provided a standard means of **container management** that is fast and easy to use.
- **Docker** is a **container management system** that allows users to **define the software to be included in a container** as a **Docker image**.
- It also includes a run-time system that can create and manage containers using these Docker images.

# The Docker container system



# The elements of the Docker container system

- **Docker daemon**

This is a process that runs on a host server and is used to setup, start, stop, and monitor containers, as well as building and managing local images.

- **Docker client**

This software is used by developers and system managers to define and control containers

# The elements of the Docker container system

- **Dockerfiles**

Dockerfiles define runnable applications (images) as a series of setup commands that specify the software to be included in a container. Each container must be defined by an associated Dockerfile.

- **Image**

A Dockerfile is interpreted to create a Docker image, which is a set of directories with the specified software and data installed in the right places. Images are set up to be runnable Docker applications.

# The elements of the Docker container system

- **Docker hub**

This is a registry of images that has been created. These may be reused to setup containers or as a starting point for defining new images.

- **Containers**

Containers are executing images. An image is loaded into a container and the application defined by the image starts execution. Containers may be moved from server to server without modification and replicated across many servers. You can make changes to a Docker container (e.g. by modifying files) but you then must commit these changes to create a new image and restart the container.

# Docker images

- **Docker images** are **directories** that can be archived, shared and run on different Docker hosts. Everything that's needed to run a software system - binaries, libraries, system tools, etc. is included in the directory.
- A **Docker image** is a **base layer**, usually taken from the **Docker registry**, with your own software and data added as a layer on top of this.
  - The layered model means that updating Docker applications is fast and efficient. Each update to the filesystem is a layer on top of the existing system.
  - To change an application, all you have to do is to **ship the changes** that you have made to its image, often just a small number of **files**.

# Benefits of containers

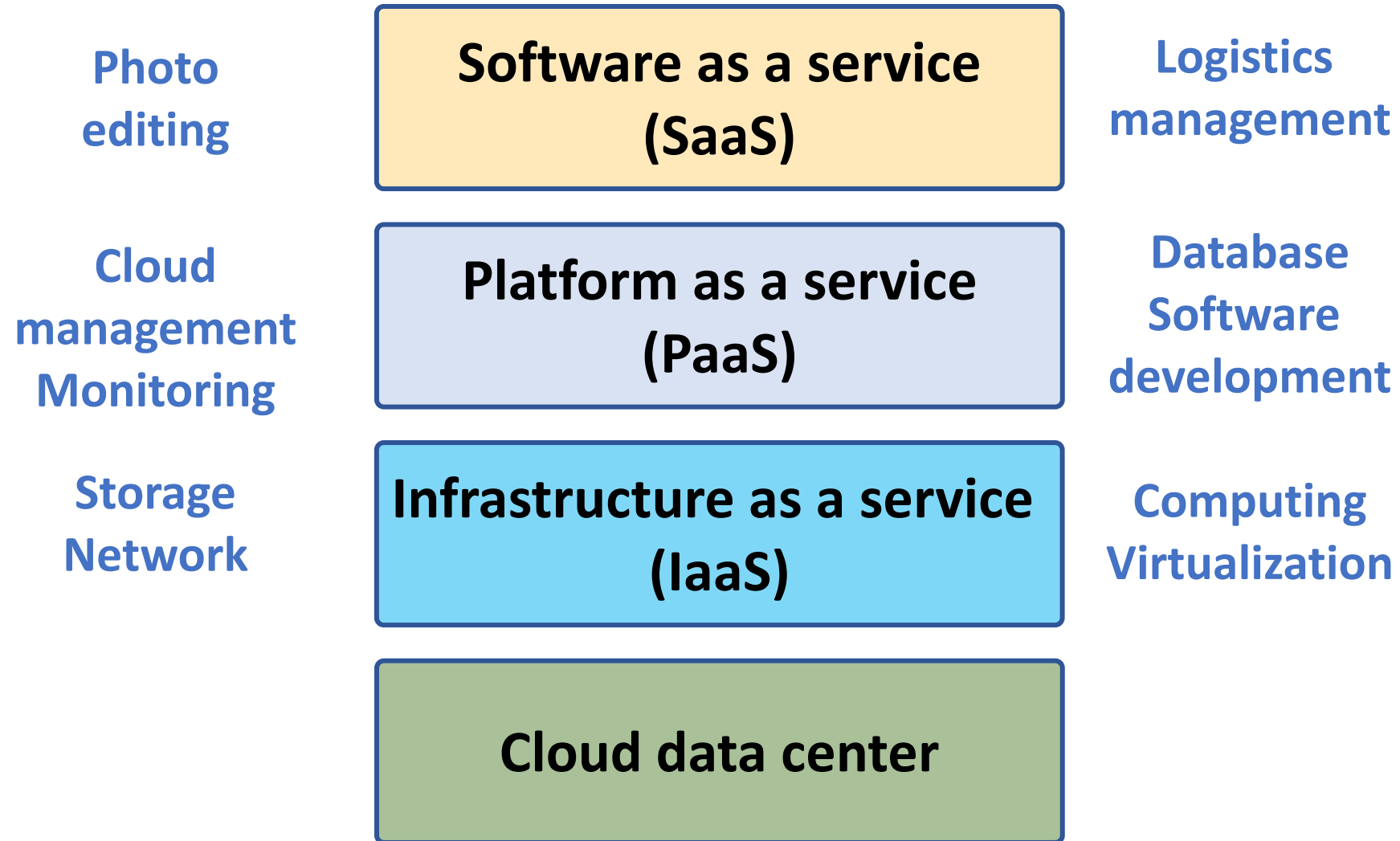
- They solve the problem of **software dependencies**.
  - You don't have to worry about the **libraries** and other software on the application server being different from those on your development server.
  - Instead of shipping your product as **stand-alone software**, you can ship a **container** that includes all of the support software that your product needs.



# Benefits of containers

- They provide a mechanism for **software portability across different clouds**.
  - **Docker containers** can run on any system or cloud provider where the **Docker daemon** is available
- They provide an efficient mechanism for implementing software services and so support the development of **service-oriented architectures**.
- They simplify the adoption of **DevOps**.
  - This is an approach to software support where the same team are responsible for both developing and supporting operational software.

# Everything as a service



# Everything as a service

- The idea of a service that is **rented** rather than **owned** is fundamental to cloud computing.
- **Infrastructure as a service (IaaS)**
- **Platform as a service (PaaS)**
- **Software as a service (SaaS)**

# Infrastructure as a service (IaaS)

- **Infrastructure as a service (IaaS)**
  - Cloud providers offer different kinds of infrastructure service such as a **compute service**, a **network service** and a **storage service** that you can use to **implement virtual servers**.

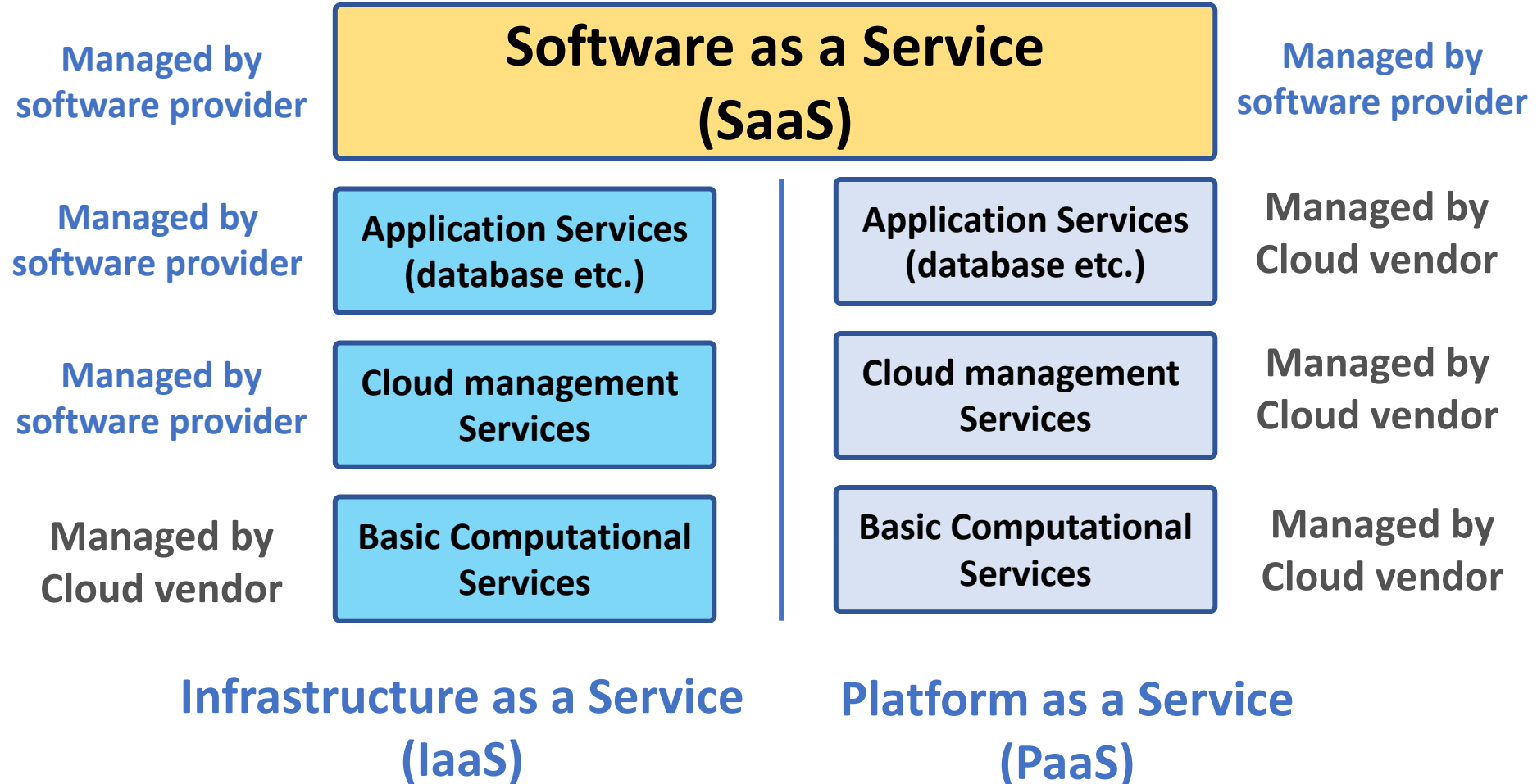
# Platform as a service (PaaS)

- **Platform as a service (PaaS)**
  - This is an intermediate level where you use **libraries and frameworks** provided by the cloud provider to **implement your software**. These provide access to a range of functions, including **SQL and NoSQL databases**.

# Software as a service (SaaS)

- **Software as a service (SaaS)**
  - Your software product runs on the cloud and is **accessed by users** through a web browser or mobile app.

# Management responsibilities for IaaS and PaaS

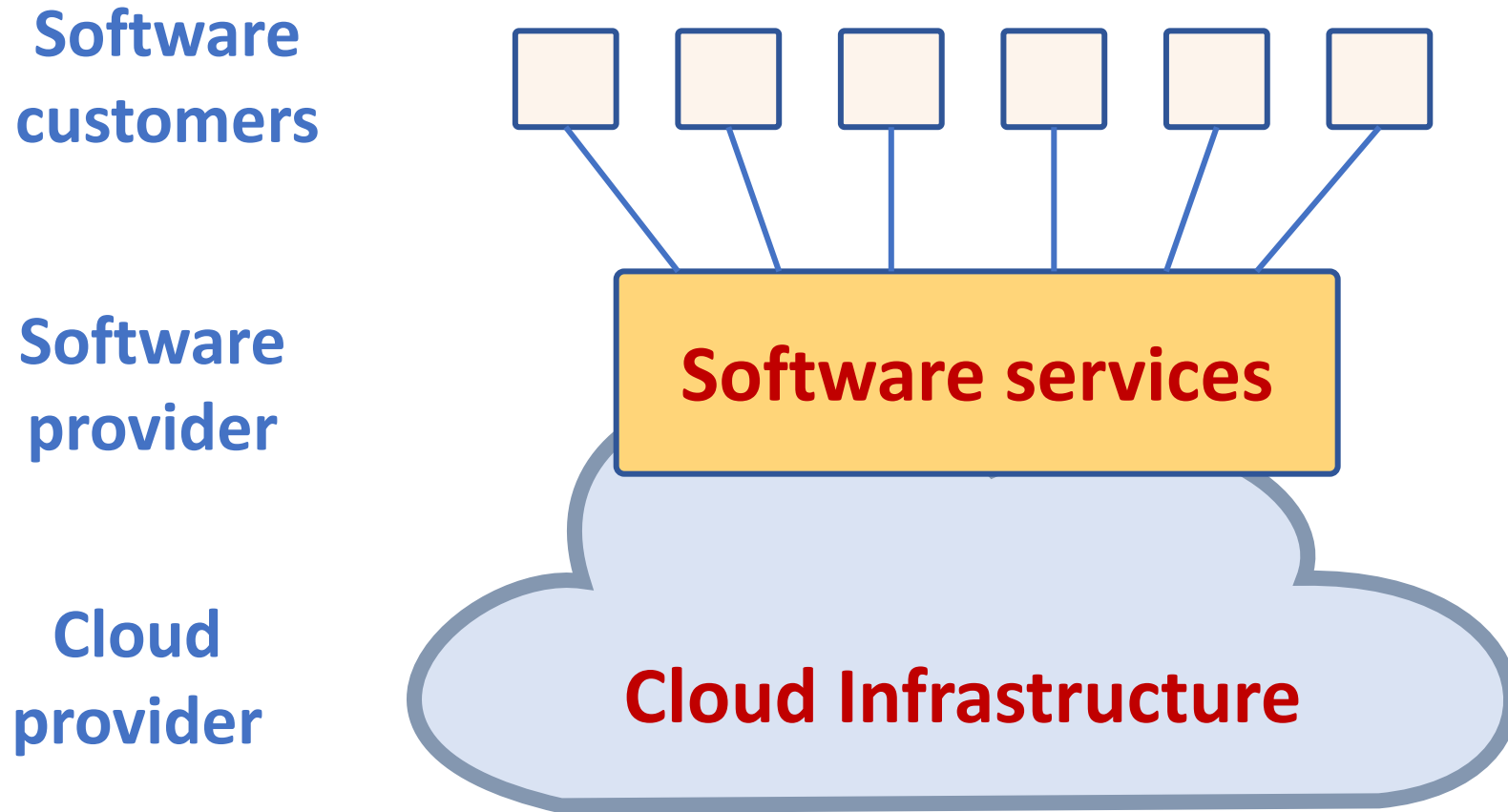


# Software as a service

- Increasingly, **software products** are being delivered **as a service**, rather than installed on the buyer's computers.
- If you deliver your software product as a service, you run the software on your servers, which you may **rent** from a **cloud** provider.
- Customers don't have to install software and they access the remote system through a **web browser** or dedicated mobile app.
- The payment model for software as a service is usually a **subscription model**.
  - Users pay a monthly fee to use the software rather than buy it outright.



# Software as a service



# Benefits of SaaS for software product providers

- **Cash flow**

Customers either pay a regular subscription or pay as they use the software. This means you have a regular cash flow, with payments throughout the year. You don't have a situation where you have a large cash injection when products are purchased but very little income between product releases.

- **Update management**

You are in control of updates to your product and all customers receive the update at the same time. You avoid the issue of several versions being simultaneously used and maintained. This reduces your costs and makes it easier to maintain a consistent software code base.

- **Continuous deployment**

You can deploy new versions of your software as soon as changes have been made and tested. This means you can fix bugs quickly so that your software reliability can continuously improve.

# Benefits of SaaS for software product providers

- **Payment flexibility**

You can have several different payment options so that you can attract a wider range of customers. Small companies or individuals need not be discouraged by having to pay large upfront software costs.

- **Try before you buy**

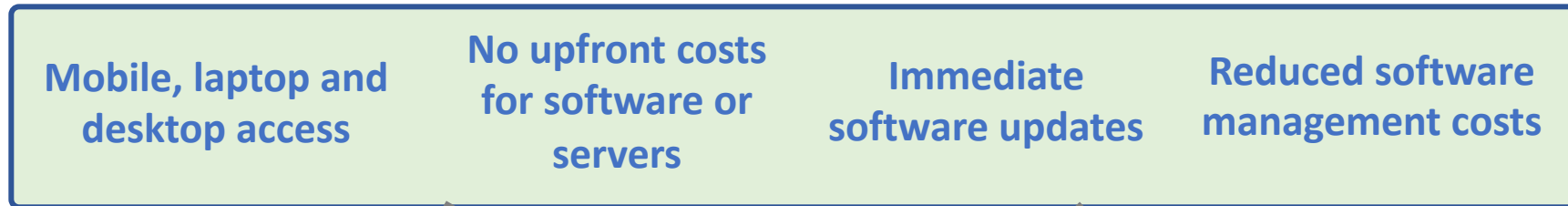
You can make early free or low-cost versions of the software available quickly with the aim of getting customer feedback on bugs and how the product could be approved.

- **Data collection**

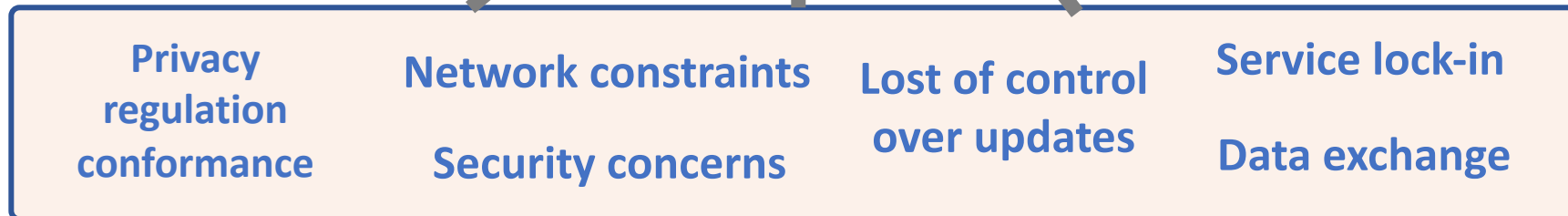
You can easily collect data on how the product is used and so identify areas for improvement. You may also be able to collect customer data that allows you to market other products to these customers.

# Advantages and disadvantages of SaaS for customers

## Advantages



## Disadvantages



# Data storage and management issues for SaaS

- **Regulation**

Some countries, such as EU countries, have strict laws on the storage of personal information. These may be incompatible with the laws and regulations of the country where the SaaS provider is based. If a SaaS provider cannot guarantee that their storage locations conform to the laws of the customer's country, businesses may be reluctant to use their product.

- **Data transfer**

If software use involves a lot of data transfer, the software response time may be limited by the network speed. This is a problem for individuals and smaller companies who can't afford to pay for very high speed network connections.

# Data storage and management issues for SaaS

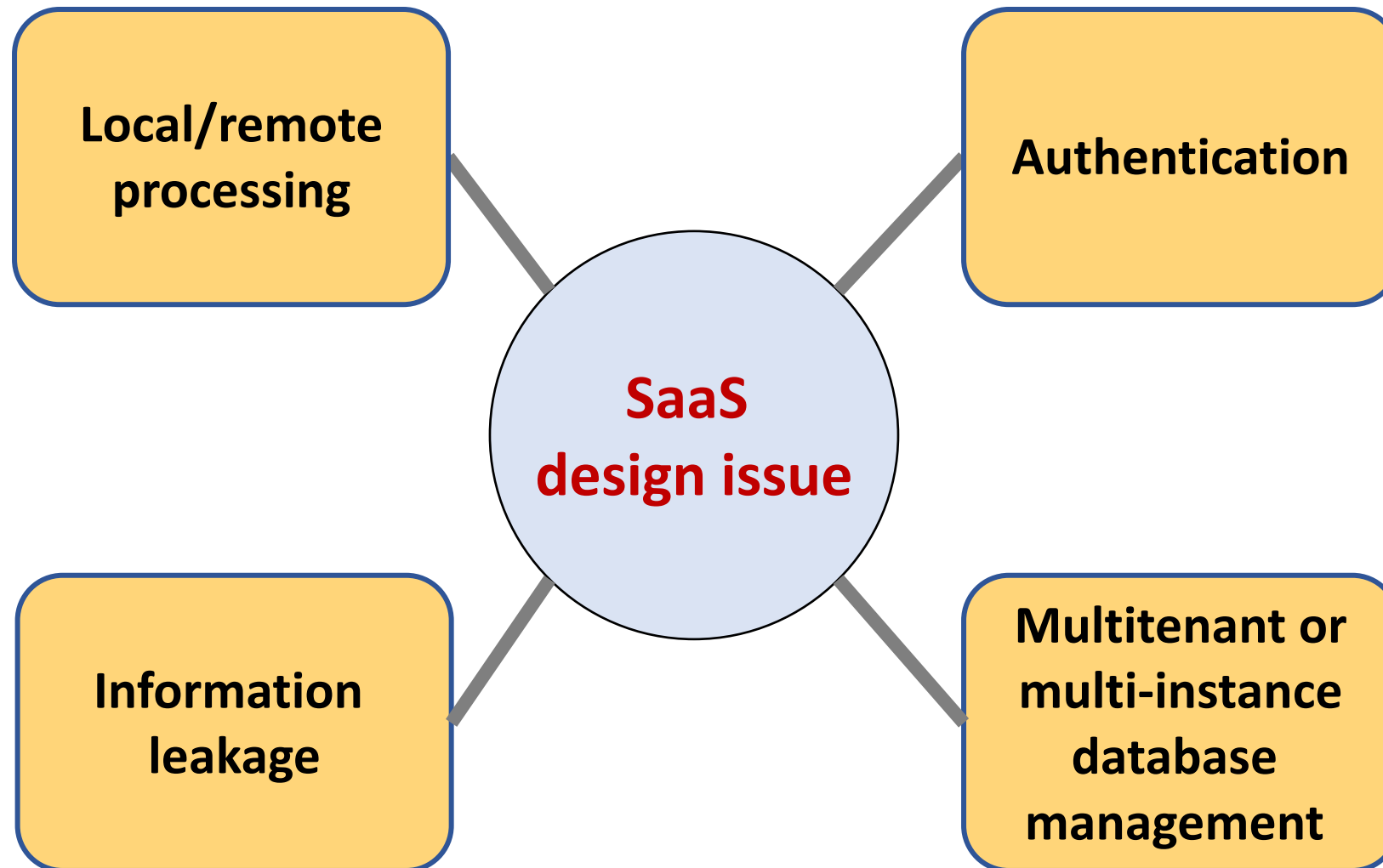
- **Data security**

Companies dealing with sensitive information may be unwilling to hand over the control of their data to an external software provider. As we have seen from a number of high profile cases, even large cloud providers have had security breaches. You can't assume that they always provide better security than the customer's own servers.

- **Data exchange**

If you need to exchange data between a cloud service and other services or local software applications, this can be difficult unless the cloud service provides an API that is accessible for external use.

# Design issues for software delivered as a service



# Multi-tenant systems

- A **multi-tenant database** is partitioned so that customer companies have their own space and can store and access their own data.
  - There is a **single database schema**, defined by the SaaS provider, that is shared by all of the system's users.
  - Items in the database are tagged with a **tenant identifier**, representing a company that has stored data in the system. The database access software uses this tenant identifier to provide '**logical isolation**', which means that users seem to be working with their own database.



# Possible customisations for SaaS

- **Authentication**

**Businesses may want users to authenticate using their business credentials rather than the account credentials set up by the software provider.**

- **Branding**

**Businesses may want a user interface that is branded to reflect their own organisation.**

# Possible customisations for SaaS

- **Business rules**

Businesses may want to be able to define their own business rules and workflows that apply to their own data.

- **Data schemas**

Businesses may want to be able to extend the standard data model used in the system database to meet their own business needs.

- **Access control**

Businesses may want to be able to define their own access control model that sets out the data that specific users or user groups can access and the allowed operations on that data.

# Advantages of multi-tenant databases

- **Resource utilization**

The SaaS provider has control of all the resources used by the software and can optimize the software to make effective use of these resources.

- **Security**

Multitenant databases have to be designed for security because the data for all customers is held in the same database. They are, therefore, likely to have fewer security vulnerabilities than standard database products. Security management is simplified as there is only a single copy of the database software to be patched if a security vulnerability is discovered.

- **Update management**

It is easier to update a single instance of software rather than multiple instances. Updates are delivered to all customers at the same time so all use the latest version of the software.

# Disadvantages of multi-tenant databases

- **Inflexibility**

Customers must all use the same database schema with limited scope for adapting this schema to individual needs. I explain possible database adaptations later in this section.

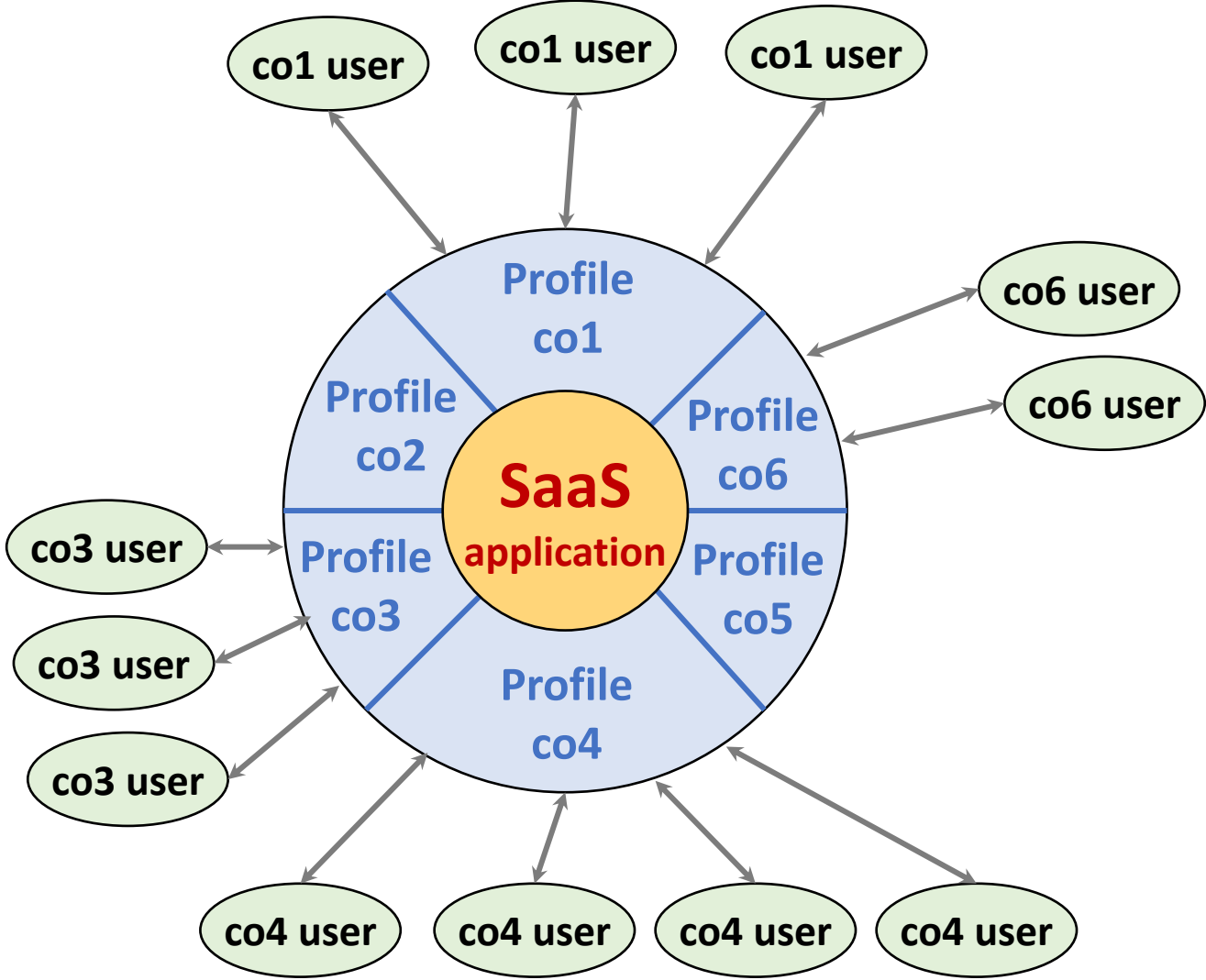
- **Security**

As data for all customers is maintained in the same database, then there is a theoretical possibility that data will leak from one customer to another. In fact, there are very few instances of this happening. More seriously, perhaps, if there is a database security breach then it affects all customers.

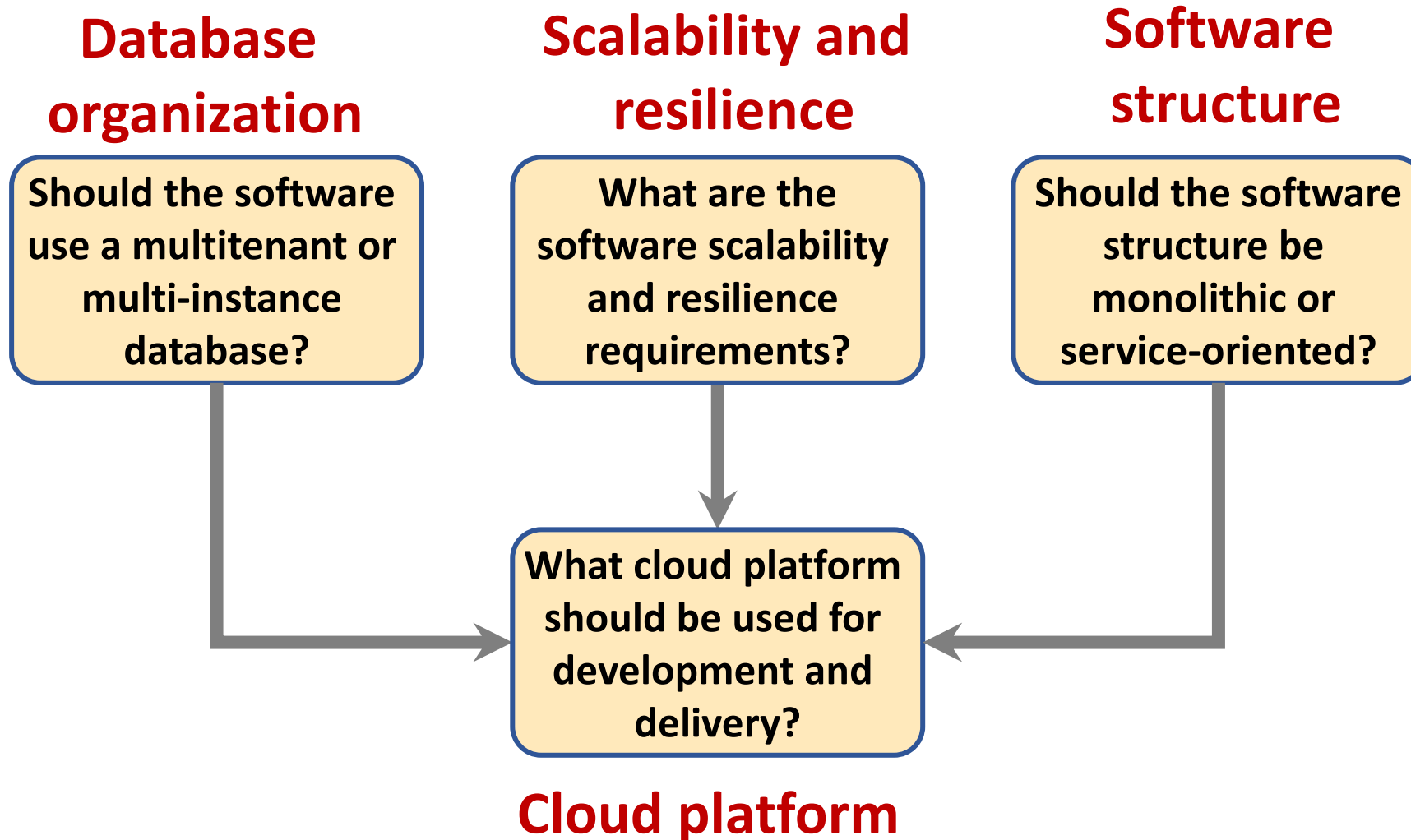
- **Complexity**

Multitenant systems are usually more complex than multi-instance systems because of the need to manage many users. There is, therefore, an increased likelihood of bugs in the database software.

# User profiles for SaaS access



# Architectural decisions for cloud software engineering



# Questions to ask when choosing a database organization

- 1. Target customers**
- 2. Transaction requirements**
- 3. Database size and connectivity**
- 4. Database interoperability**
- 5. System structure**

# Questions to ask when choosing a database organization

- **Target customers**

Do customers require different database schemas and database personalization? Do customers have security concerns about database sharing? If so, use a multi-instance database.

- **Transaction requirements**

Is it critical that your products support ACID transactions where the data is guaranteed to be consistent at all times? If so, use a multi-tenant database or a VM-based multi-instance database.



# Questions to ask when choosing a database organization

- **Database size and connectivity**

How large is the typical database used by customers? How many relationships are there between database items? A multi-tenant model is usually best for very large databases as you can focus effort on optimizing performance.

- **Database interoperability**

Will customers wish to transfer information from existing databases? What are the differences in schemas between these and a possible multitenant database? What software support will they expect to do the data transfer? If customers have many different schemas, a multi-instance database should be used.

# Questions to ask when choosing a database organization

- **System structure**

**Are you using a service-oriented architecture for your system? Can customer databases be split into a set of individual service databases? If so, use containerized, multi-instance databases.**

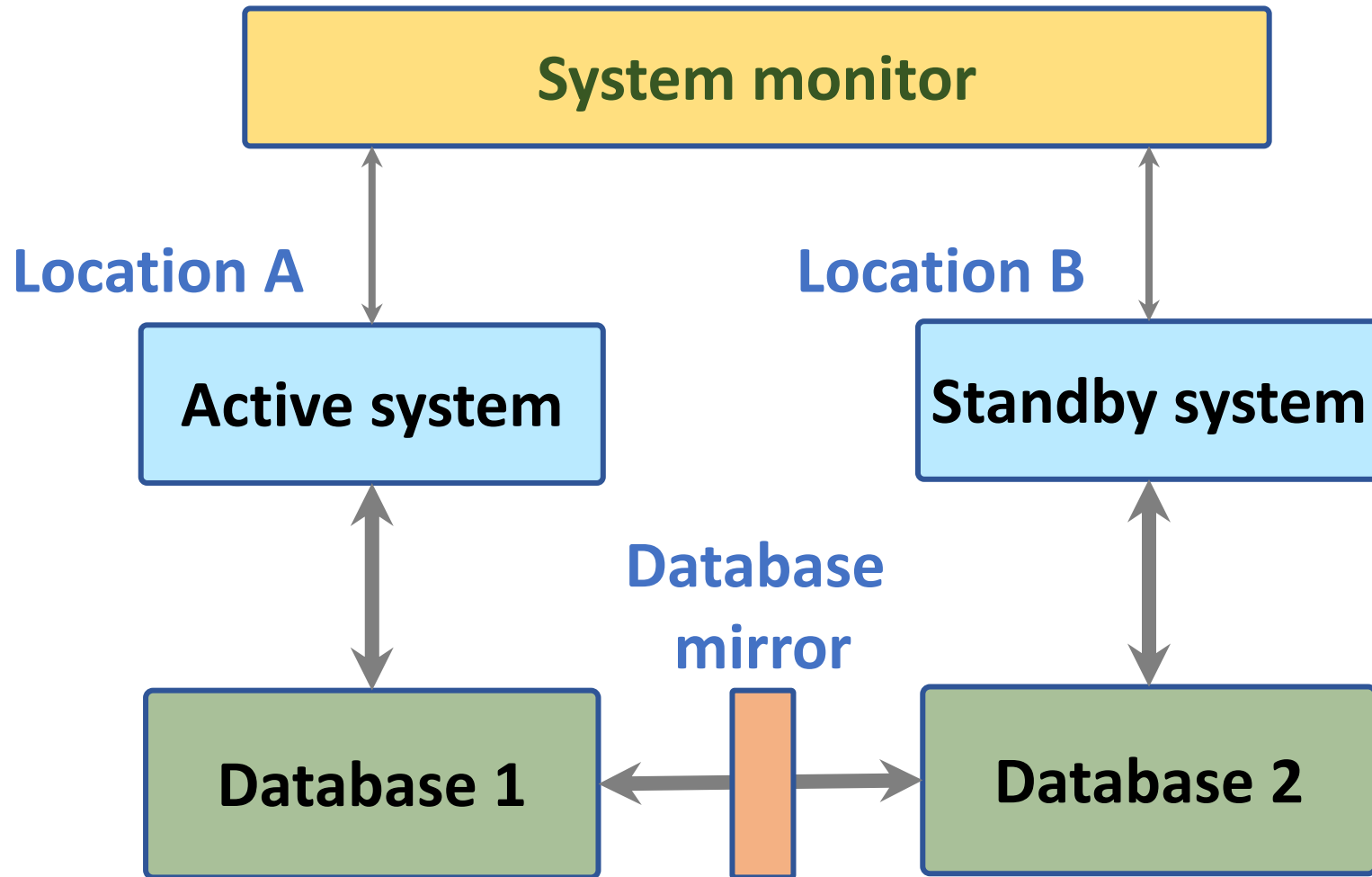
# Scalability and resilience

- The **scalability** of a system reflects its ability to **adapt automatically to changes** in the load on that system.
- The **resilience** of a system reflects its ability to **continue to deliver critical services** in the event of **system failure** or malicious system use.

# Scalability and resilience

- You achieve **scalability** in a system by making it possible to **add new virtual servers (scaling-out)** or **increase the power of a system server (scaling-up)** in response to increasing load.
  - In cloud-based systems, **scaling-out** rather than scaling-up is the normal approach used. Your software has to be organized so that individual software components can be replicated and run in parallel.
- To achieve **resilience**, you need to be able to restart your software quickly after a **hardware or software failure**.

# Using a standby system to provide resilience



# Resilience

- **Resilience** relies on **redundancy**:
  - Replicas of the software and data are maintained in different locations.
  - Database updates are mirrored so that the standby database is a working copy of the operational database.
  - A system monitor continually checks the system status. It can switch to the standby system automatically if the operational system fails.

# Resilience

- You should use **redundant virtual servers** that are not hosted on the same physical computer and locate servers in different locations.
  - Ideally, these servers should be located in different data centers.
  - If a physical server fails or if there is a wider data center failure, then operation can be switched automatically to the software copies elsewhere.

# System structure

- An **object-oriented** approach to software engineering has been that been extensively used for the development of client-server systems built around a shared database.
- The system itself is, logically, a **monolithic system** with distribution across multiple servers running large software components. The traditional **multi-tier client server architecture** is based on this **distributed system model**.



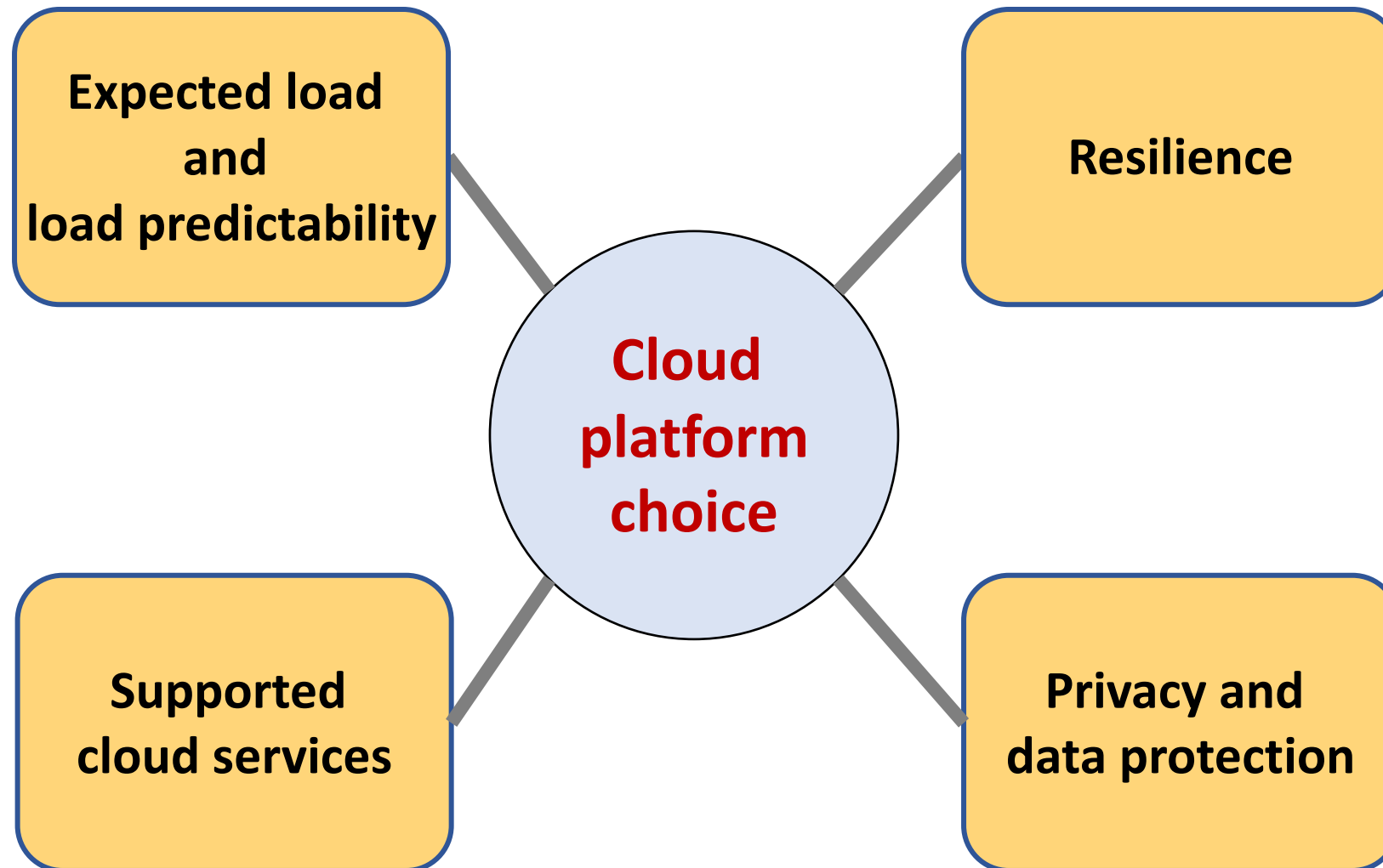
# System structure

- The alternative to a monolithic approach to software organization is a **service-oriented** approach where the system is **decomposed** into **fine-grain, stateless services**.
  - Because it is stateless, each service is independent and can be replicated, distributed and migrated from one server to another.
  - The service-oriented approach is particularly suitable for cloud-based software, with services deployed in containers.

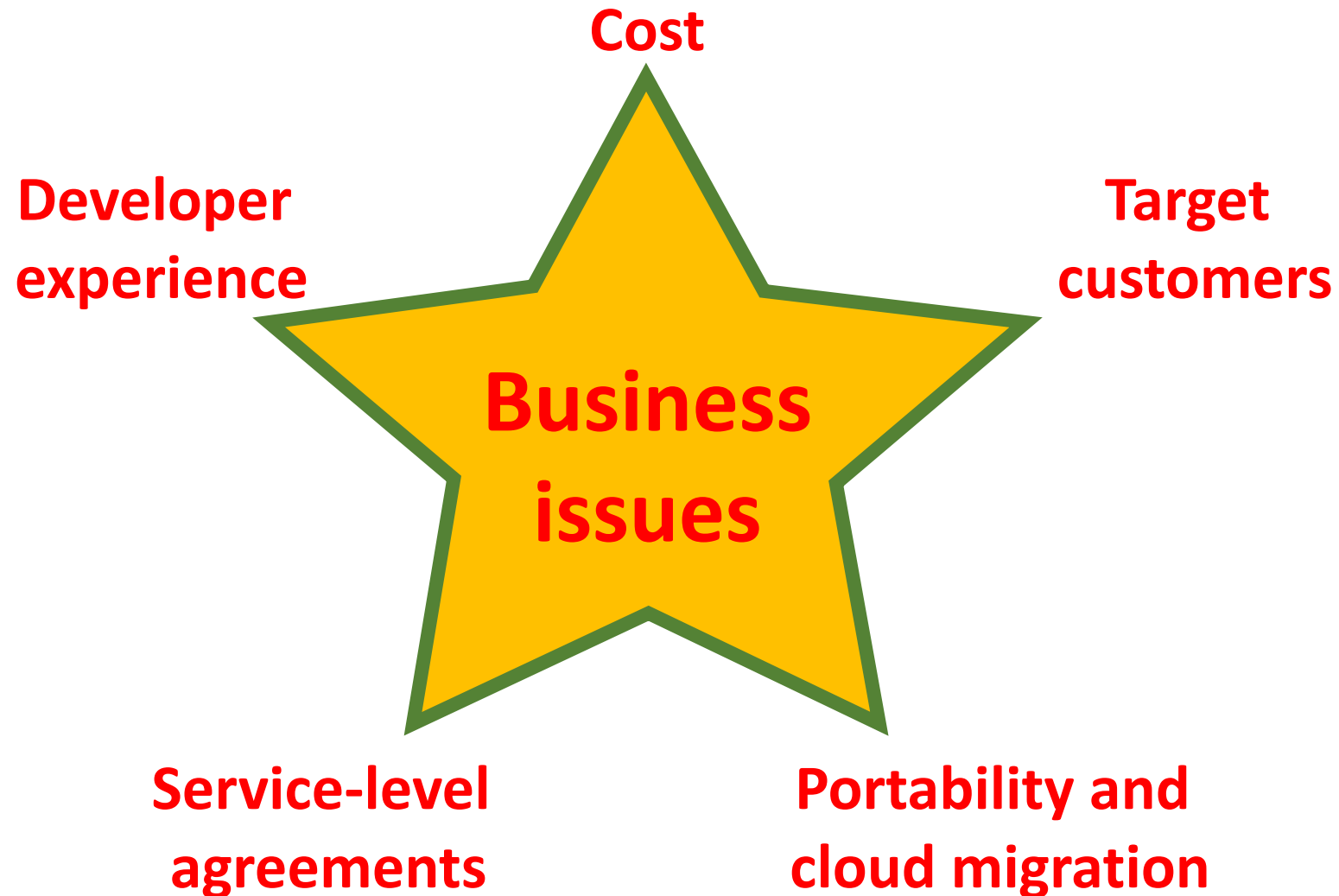
# Cloud platform

- Cloud platforms include general-purpose clouds such as **Amazon Web Services** or lesser known platforms oriented around a specific application, such as the **SAP Cloud Platform**. There are also smaller national providers that provide more limited services but who may be more willing to adapt their services to the needs of different customers.
- There is no ‘best’ platform and you should choose a cloud provider based on your background and experience, the type of product that you are developing and the expectations of your customers.
- You need to consider both technical issues and business issues when choosing a cloud platform for your product.

# Technical issues in cloud platform choice



# Business issues in cloud platform choice



# Summary

- The **cloud** is made up of a large number of **virtual servers** that you can rent for your own use. You and your customers access these servers remotely over the internet and pay for the amount of server time used.
- **Virtualization** is a technology that allows **multiple server instances** to be run on the same **physical computer**. This means that you can create isolated instances of your software for deployment on the cloud.

# Summary

- **Virtual machines** are **physical server replicas** on which you run your own operating system, technology stack and applications.
- **Containers** are a **lightweight virtualization technology** that allow **rapid replication and deployment of virtual servers**. All containers run the same operating system. **Docker** is currently the most widely used container technology.

# Summary

- A fundamental feature of the cloud is that **'everything'** can be delivered **as a service** and accessed over the internet. A service is **rented** rather than owned and is shared with other users.

# Summary

- **Infrastructure as a service (IaaS)** means computing, storage and other services are available over the cloud. There is no need to run your own physical servers.
- **Platform as a service (PaaS)** means using services provided by a cloud platform vendor to make it possible to auto-scale your software in response to demand.
- **Software as a service (SaaS)** means that application software is delivered as a service to users. This has important benefits for users, such as lower capital costs, and software vendors, such as simpler deployment of new software releases.



# Summary

- **Multitenant systems** are **SaaS** systems where all users share the same database, which may be adapted at run-time to their individual needs. Multi-instance systems are SaaS applications where each user has their own separate database.
- The **key architectural issues** for cloud-based software are the **cloud platform** to be used, whether to use a **multitenant or multi-instance database**, the **scaleability** and **resilience** requirements, and whether to use objects or services as the basic components in the system.

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